VALUE OF BOTULINUM TOXIN INJECTIONS PRECEDING A COMPREHENSIVE REHABILITATION PERIOD FOR CHILDREN WITH SPASTIC CEREBRAL PALSY: A COST-EFFECTIVENESS STUDY

Fabienne SCHASFOORT, PhD^{1,3}, Annet DALLMEIJER, PhD², Robert PANGALILA, PhD, MD³, Coriene CATSMAN, PhD, MD⁴, Henk STAM, FRCP, PhD, MD¹, Jules BECHER, PhD, MD², Ewout STEYERBERG, PhD⁵, Suzanne POLINDER, PhD⁵ and Johannes BUSSMANN, PhD¹, on behalf of the SPACE BOP Study Group

From the ¹Department of Rehabilitation Medicine, Erasmus MC, University Medical Centre Rotterdam, ²Department of Rehabilitation Medicine, VU, University Medical Centre Amsterdam, ³Rijndam Rehabilitation Centre, Rotterdam, ⁴Department of Neurology, and ⁵Department of Public Health, Erasmus MC, University Medical Centre Rotterdam, The Netherlands

Objective: Despite the widespread use of botulinum toxin in ambulatory children with spastic cerebral palsy, its value prior to intensive physiotherapy with adjunctive casting/orthoses remains unclear.

Design: A pragmatically designed, multi-centre trial, comparing the effectiveness of botulinum toxin + intensive physiotherapy with intensive physiotherapy alone, including economic evaluation.

Subjects/patients: Children with spastic cerebral palsy, age range 4–12 years, cerebral palsy-severity Gross Motor Function Classification System levels I– III, received either botulinum toxin type A + intensive physiotherapy or intensive physiotherapy alone and, if necessary, ankle-foot orthoses and/or casting. *Methods:* Primary outcomes were gross motor function, physical activity levels, and health-related quality-of-life, assessed at baseline, 12 (primary end-point) and 24 weeks (follow-up). Economic outcomes included healthcare and patient costs. Intention-to-treat analyses were performed with linear mixed models.

Results: There were 65 participants (37 males), with a mean age of 7.3 years (standard deviation 2.3 years), equally distributed across Gross Motor Function Classification System levels. Forty-one children received botulinum toxin type A plus intensive physiotherapy and 24 received intensive physiotherapy treatment only. At primary end-point, one statistically significant difference was found in favour of intensive physiotherapy alone: objectively measured percentage of sedentary behaviour (-3.42, 95% confidence interval 0.20–6.64, p = 0.038). Treatment costs were significantly higher for botulinum toxin type A plus intensive physiotherapy (8,963 vs 6,182 euro, p = 0.001). No statistically significant differences were found between groups at follow-up.

Conclusion: The addition of botulinum toxin type A to intensive physiotherapy did not improve the effectiveness of rehabilitation for ambulatory children with spastic cerebral palsy and was also not cost-effective. Thus botulinum toxin is not recommended for use in improving gross motor function, activity levels or health-related quality-of-life in this cerebral palsy age- and severity-subgroup.

Key words: spastic cerebral palsy; botulinum toxin; physiotherapy; cost-effectiveness. Accepted Jul 10, 2017; Epub ahead of print Sep 26, 2017

J Rehabil Med 2018; 50: 22-29

Correspondence address: Fabienne Schasfoort, Department of Rehabilitation Medicine, Erasmus MC, University Medical Centre Rotterdam, PO Box 2040, NL-3000 CA Rotterdam, The Netherlands. E-mail: f.schasfoort@erasmusmc.nl.

fulti-level treatment with botulinum toxin type \mathbf{W} A (BoNT-A) is widely used in spastic cerebral palsy (CP). By reducing muscle spasticity/abnormal muscle tone, BoNT-A aims to improve an individual's activity and participation, domains related to higher level of functioning, as described in the World Health Organization's International Classification of Functioning (ICF) (1). BoNT-A is prescribed for the majority of ambulatory children with spastic CP in most Western countries (2) and treatment is repeated frequently throughout childhood (3). BoNT-A injections are not a stand-alone intervention and should be combined with additional, preferably activity-focused, interventions (4, 5). Leg muscle injections are usually combined with physiotherapy (PT) and, if necessary, additional casting and/or ankle-foot orthoses. The rationale for this is that BoNT-A may provide better conditions to optimize and reinforce the effects of PT and adjunctive interventions in combined treatment.

In general, positive effects of combined treatment have been reported on outcomes at the ICF level of body function and structure (i.e. reducing muscle spasticity and hypertonia and improving range of motion). However, treatment effects on higher ICF levels of functioning remain unclear (4–6). Furthermore, there is a lack of clarity regarding the degree that positive outcomes of combined treatment can be attributed to BoNT-A (7–9), as a period of intensive, goal-directed PT without BoNT-A also seems to be effective (6, 10). BoNT-A treatment is burdensome (i.e. a toxin is injected, usually under anaesthesia) and costly. In addition, a potential industry-related conflict of interest regarding the effectiveness of BoNT-A has been reported recently (11). Hence, it is important to explicitly study the added value of BoNT-A in combined intensive treatment. The aim of this study was therefore to determine the effectiveness and costs of multi-level BoNT-A injections in combination with a 12-week period of intensive functional PT (BoNT-A+iPT) compared with the effectiveness and costs of a 12-week period of intensive functional PT alone (only-iPT). Primary outcomes for effectiveness were gross motor function, physical activity levels and health-related quality of life.

METHODS

Study design and participants

A pragmatically designed, single-blind, multi-centre trial was performed to compare the effectiveness of BoNT-A+iPT with only-iPT. Randomized participants and those who had objections to randomization because, for various reasons, they strongly preferred one of the interventions were both enrolled in the study. Thus, due to allowing inclusion of participants according to family preferences, this was not a fully randomized trial (12, 13) and the study was conducted pragmatically. Power calculations (performed for the originally intended fully randomized trial) were based on simulation of 500 data-sets with mixed effect model analyses (α =0.05, β =0.20) using existing Gross Motor Function Measure (GMFM) data (14). A total sample size of 60 children was considered sufficient. Economic evaluation was performed from a societal perspective.

Children were recruited from 2 Dutch university hospitals and 5 rehabilitation centres. During regular consultations with the spasticity-management team, experienced multidisciplinary teams of clinical professionals assessed children for eligibility. Inclusion criteria were: diagnosis of spastic CP (15), primarily lower extremity involvement (unilateral or bilateral), classified at levels I–III of the Gross Motor Function Classification System (GMFCS) (16), age range 4–12 years, and an indication for BoNT-A (4, 5). Exclusion criteria were: BoNT-A treatment <6 months or CP-related surgery <12 months prior to enrolment, cognitively unable to understand instructions, presence of severe contractures or co-morbidity. The ethics committee of Erasmus MC approved the study, and the trial was registered in the Dutch Trial Register (NTR TC 1655). Written informed consent was obtained from parents/primary caregivers.

Enrolment, randomization and blinding

Parents of eligible children were initially invited to participate on a randomized basis. If they declined, they were then offered participation in the group they preferred. The subjects who were randomized were centrally assigned to either BoNT-A+iPT or only-iPT by a computer-generated, independently provided, block randomization scheme with stratification by GMFCS level per measuring location (i.e. the university hospitals). The aim was to blind the outcome assessors, physiotherapists and those administering additional post-BoNT-A co-interventions. For randomized participants, allocation to intervention groups was concealed until after baseline measurements. Physiotherapists and outcome assessors were asked to speculate the child's suspected intervention group after primary end-point measurements. Blinding of physiotherapists and assessors in the group that received preferred treatment was also pursued and its success similarly assessed. All data were anonymized and coded prior to data analysis, which was performed by individuals not involved with the interventions or outcome assessments.

Procedures

After baseline measurements, individual BoNT-A treatment plans were compiled based on clinical examination and instrumented gait analysis. Indications for co-interventions during the iPT period (i.e. serial casting and prescription or (re)alignment of ankle-foot orthoses (AFOs)) were also determined. In the BoNT-A+iPT intervention group, BoNT-A was administered under general anaesthesia by experienced clinicians during individually scheduled 1-day hospitalizations. Treatment adhered to recommendations pertaining to preparations, cautions, dose modifiers, localization techniques and safety aspects (European Consensus 2009) (4). In all cases, preparations of botulinum toxin serotype A (Botox®, Allergan Inc., Eindhoven, The Netherlands) were used, and in each treated muscle group, the maximum allowed dose, in relation to children's age and weight, was injected.

The period of intensive functional PT (iPT) started one week after BoNT-A for the BoNT-A+iPT intervention group, and was individually scheduled for children in the only-iPT intervention group. A guideline was developed based on best-available evidence (2010) (17, 18). During a 12-week period, children ideally had 3 45-60-min therapy sessions each week, with at least 1 rest day between sessions. Information about therapy content and patient compliance was determined using custommade therapy journals, which were completed by therapists after each session. Sessions were held at children's schools and/or in private practices. The main therapy components were progressive resistance exercises (PRE) based on current guidelines for typically developing children (17) and children with CP (i.e. intensity of 8-15 repetion maximum) (18) to improve strength and endurance, and functional goal-directed exercises with goals at the ICF activity level set by therapist and parents in dialogue. Due to large clinical heterogeneity, therapy content was individually tailored within the framework of the guideline, relying strongly on physiotherapists' clinical reasoning abilities. All paediatric physiotherapists involved were experienced in treating children with CP. After the primary end-point measurements, PT reverted to individuals' pre-study intensity.

In both intervention groups, 2–4 weeks of serial casting (i.e. below-knee walking casts changed weekly) could be prescribed in case of passive ankle dorsiflexion with extended knee less than 0°, starting approximately 1 week after iPT commenced (i.e. 2 weeks after BoNT-A), and ending when 0° dorsiflexion was possible. Therapy continued when children wore casts. If prescribed, (re)alignment of current or new AFOs was arranged as soon as possible.

Primary-end-point measurements were performed when individual 12-week iPT periods ended. Long-term follow-up measurements were completed 12 weeks thereafter (24 weeks). Independent assessors scored the clinical outcome measures and participants completed web-based questionnaires. Regarding assessment of safety and reporting of adverse events, all persons involved adhered to Good Clinical Practice (GCP) procedures.

Outcome measures

Three outcome measures assessing gross motor function, physical activity levels and generic health-related quality of life (HRQoL) were used as primary effectiveness outcomes. Children with CP can experience a good quality of life despite having significant functional limitations (19).

Gross motor function was measured with the item set version of the Gross Motor Function Measure (GMFM-66), a widely used observational instrument that scores the capacity of child-

24 F. Schasfoort et al.

ren with CP to perform gross motor skills (16). The item set version (GMFM-66-IS) is reliable, valid and responsive for determining changes in gross motor function in CP (20).

Actual everyday physical activity levels were measured objectively using an ambulatory monitoring device (AM, Actigraph-GT3X+ 3D-accelerometer, $4.6 \times 3.3 \times 1.5$ cm; 19 g, ActiGraph, Pensacola, FL, USA), validated for use in children with CP (21). Children wore the devices on an elastic belt positioned on the waist (on the less-affected side), and parents were instructed about donning and doffing the device. Devices were worn during the daytime for 7 days, except while showering/bathing or swimming. Accelerometer signals (sample frequency 30 Hz) were analysed using Actilife software (version 6.6.2, ActiGraph). For all 3 axes, activity counts were calculated using a 5-s epoch length, and from this, a vector magnitude was calculated. Periods of continuous zero counts lasting 15 min or longer were defined as non-wear periods (and were excluded from further analyses). Days with at least 480 min wear time were considered valid. The following outcome measures were calculated: total amount of activity counts per day (Total-counts), mean intensity of physical activity counts per min (CpM) and mean percentage of the day spent sedentary (%Sedentary, using Evenson cut-off points) (22).

HRQoL was measured using child self- and proxy-reported questionnaires. Functional health status was measured using the Child Health Questionnaire – proxy version (CHQ-PF28).

The CHQ is a generic, norm-referenced HRQoL instrument that measures physical and psychosocial well-being of children approximately ≥ 5 years of age (23). The DISABKIDS questionnaire condition-specific CP module was used to measure the impact of this condition (24). The DISABKIDS-smiley self-and proxy-reported paediatric scales, in the form of "emoticon faces", were used for scoring HRQoL (24).

The commonly used preference-based Health Utilities Index (HUI), which assesses a number of different quality of life domains, was the main instrument for economic evaluation (25). The HUI-15Q 15-item proxy questionnaire was used to classify children to 2 complementary HUI health state classification systems by applying HUI2 and HUI3 multi-attribute utility formulas (25).

Economic evaluation was performed from a societal perspective (26) and included healthcare and patient costs. Data on healthcare use and patient time were obtained from standardized parent/caregiver diaries (for iPT compliance, data were double checked with therapists' administrations), hospital- and pharmacotherapeutic-registration and information systems. To calculate the total intramural medical costs per BoNT-A treatment, we distinguished referral, consultations of multidisciplinary spasticityteams, standardized gait analysis before and after treatment, pre-anaesthetic assessment, BoNT itself, injections under full anaesthesia, a 1-day hospital stay and post-treatment medical monitoring. For the most important cost items, unit prices were determined via micro-costing methods (27). This was based on a detailed inventory and measurement of all resources used (manpower, equipment, materials, housing and overhead). If no differences in effect between the 2 interventions were found, the economic evaluation converted to a cost minimization analysis (CMA).

Statistical analyses

First, the characteristics of participants and the different treatment components in the 2 intervention groups were compared using conservative non-parametric tests. Subsequently, comparative analysis of effectiveness of BoNT-A+iPT vs effectiveness of only-iPT at primary-end-point and follow-up were performed using linear mixed model analyses. In these intention-to-treat (ITT) analyses to estimate differences in treatment effectiveness we adjusted for the dependency of repeated observations in each subject (random intercept). We also adjusted for randomized/ preferred treatment participation, number of previous BoNT-A treatments, age and GMFCS level. The latter is the most relevant in all discussions related to management of spastic CP (5). All statistical analyses were carried out using IBM-SPSS statistics 21; the level of statistical significance was set at p < 0.05.

RESULTS

Between October 2009 and September 2013, 757 children were assessed for eligibility, of whom 84% did not meet the inclusion criteria, mainly because they were classified as GMFCS levels IV–V or they did not fit the age range (Fig. 1). Of 123 families who were invited to participate, 43% declined, primarily due to

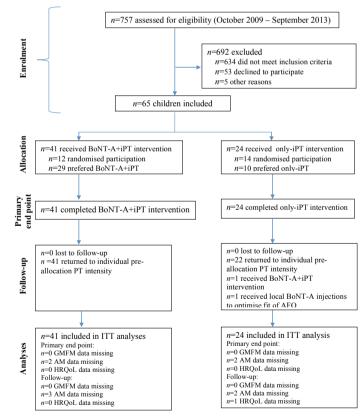


Fig. 1. Flow diagram of the SPACE BOP (SPAstic cerebral palsy; Cost-Effectiveness of BOtulinum toxin and Physiotherapy) study. BoNT-A: botulinum toxin type A; iPT: intensive physiotherapy PT: physiotherapy; ITT: intention-to-treat; AFO: anklefoot orthoses; HRQoL: health-related quality of life; AM: Ambulatory Monitoring device; GMFM: Gross Motor Function Measure.

Journal of Rehabilitation Medicine

JRN

Journal of Rehabilitation Medicine

JRM

perceived additional burden. Finally, 65 children with spastic CP were enrolled, including 40% randomized participants. Within this latter group, 62% of families preferred 1 of the interventions (27% BoNT-A+iPT and 35% only-iPT). Overall, only 10 families (15%) were neutral regarding which intervention they received. In total, there were 37 boys and 28 girls, mean age 7 years 4 months (standard deviation (SD) 2 years, 4 months). Fourteen participants had unilateral spastic CP subtype; 51 had bilateral spastic CP subtype; and 19, 23 and 23 children were classified as GMFCS levels I, II and III, respectively. There were no drop-outs and few missing data (Fig. 1).

Shortly after their baseline measurements, 41 children received BoNT-A+iPT and 24 children started only-iPT. In the 2 intervention groups, participants were evenly distributed over GMFCS levels, but in the only-iPT group there were more children with unilateral CP (explaining a smaller number of casting periods) and they were also younger (Table I). The 2 intervention groups differed significantly regarding BoNT-A history (p=0.002) and random participation (p=0.021), both factors for which we planned to adjust in the comparative effectiveness analyses. Mean and SD number of injected BoNT-A units per kg bodyweight for children receiving BoNT-A+iPT were 9 (SD 5), 17 (SD 5) and 17 (SD 4), for GMFCS levels I, II and III, respectively. Comparison of baseline scores of the 2 intervention groups with conservative non-parametric tests showed that none of the outcome measures differed significantly. For various reasons, masking of their outcome assessor or physiotherapist accidentally

Table I. Characteristics of participants and treatment components for the 2 intervention groups

	BoNT-A+iPT n=41	Only-iPT n = 24	<i>p</i> -value
Characteristics of participants			
GMFCS level: I/II/III, %	29/32/39	29/42/29	0.656
CP type: unilateral/bilateral, %	17/83	29/71	0.258
Age, years and months, mean (SD)	7 y 6 m (2 y 5 m)	6 y 11 m (2 y 4 m)	0.324
7-/7+ years of age, %	51/49	58/42	0.578
Sex: boys/girls, %	54/46	62/38	0.486
Number of weeks of gestation, mean (SD)	33 (5)	34 (4)	0.554
Regular school/special school, %	49/51	46/54	0.818
Number of previous BoNT-A treatment 0/1/≥2, %	20/34/46	62/17/21	0.002*
Reported unpleasant experiences previous BoNT-A treatment, %	30	56	0.168
No use of assistive devices, %	2	4	
Uses orthopaedic shoes and/or AFO, %	39	42	0.888
Uses multiple devices, including (wheeled) walker, wheelchair, %	59	54	
Randomized participation, %	29	58	0.021*
Characteristics of treatment components			
Prescribed number of BoNT-A units/kg bodyweight, mean (SD) ^a	15 (6)	13 (6)	0.110
Prescribed number of BoNT-A units per joint level, mean (SD)			
Hip joint level ^a	49 (21)	58 (35)	0.549
Knee joint level ^a	129 (44)	128 (65)	0.668
Ankle joint level ^a	89 (47)	89 (38)	0.886
Prescribed number of BoNT-A units per muscle, mean (SD)			
m psoas ^a	12 (18)	9 (16)	0.372
mm adductors ^a	9 (16)	11 (30)	0.266
m gracilis ^a	30 (20)	30 (29)	0.653
m semimembranosus ^a	31 (19)	33 (28)	0.866
m semitendinosus ^a	32 (19)	32 (26)	0.948
m rectus femoris ^a	11 (19)	1 (7)	0.003*
m gastrocnemius medialis ^a	32 (23)	24 (24)	0.092
m gastrocnemius lateralis ^a	21 (23)	19 (22)	0.722
m soleus ^a	6 (14)	2 (7)	0.357
m tibialis posterior ^a	5 (15)	5 (13)	0.606
other lower leg muscles ^a	3 (10)	1 (3)	0.159
Casting period, uni- or bilateral casting, %	59	33	0.072
Problem(s) with cast(s) during iPT period, %	29	38	0.663
New AFO(s) or realignment current AFO(s), %	34	29	0.677
Problem(s) with AFO(s) during iPT period, %	43	43	1.000
Number of sessions during the 12-week iPT period, mean (SD) ^b	31 (6)	31 (4)	0.989
Number of iPT min during the 12-week iPT period, mean (SD) ^b	1,290 (389)	1,196 (250)	0.528
Number of PT min during the 12-week IPT period, mean (SD)	700 (393)	558 (236)	0.151

**p*≤0.05.

^aChildren in the only-iPT intervention group did not receive BoNT-A injections, but treatment plans were made for all participants to determine individual costs, \sim Prescribed numbers of BoNT-A units were based on 116 legs (n = 75 in the BoNT-A+iPT group and n = 41 in the Only-iPT group), ^bBased on therapy journals of n = 64 children in which min spent with progressive resistance exercises (PRE) exercises and functional goal-directed exercises were noted, and ^cbased on PT's business administration of n = 65 children.

AFO: ankle-foot orthoses; GMFCS: Gross Motor Function Classification System; BoNT-A: botulinum toxin type A; iPT: intensive physiotherapy; AFO: ankle foot orthosis; CP: cerebral palsy; SD: standard deviation; PT: physiotherapy.

26 F. Schasfoort et al.

failed before primary end-point was reached for 37% and 69% of the participants, respectively.

While adjusting for GMFCS level, BoNT-A history, age and randomized/preferred treatment allocation in the mixed models ITT analyses, at primary end-point (baseline to 12 weeks), we found only one statistically significant difference in treatment effect between intervention groups: AM-measured % of sedentary physical activity behaviour was in favour of only-iPT (Table II). At follow-up (baseline to 24 weeks), we did not find any statistically significant differences in treatment effects between the 2 interventions (Table II).

The presence of only 1 statistically significant difference in effect between the 2 interventions (in favour of only-iPT), plus the absence of statistically significant differences for the Health Utility Index (HUI)-uti-mark3 and HUI-uti-mark2 utility scores, prompted us to change our economic evaluation into

Table III. Cost comparison

Cost category	BoNT-A+iPT $n = 41$	Only-iPT n = 24		
Pre-treatment trajectory (euro)	883	883		
BoNT-A treatment procedure (euro)	2,170	0		
iPT treatment period (euro)	4,448	4,096		
Plaster cast(s) and/or AFO(s) trajectories* (euro)	1,082	823		
Productivity loss and travel costs parents (euro)	380	380		
Total costs per child (euro)	8,963	6,182		
	p = 0.001 (bootstrap)			

*Differences in costs for casts and AFOs are largely related to a higher percentage of children with bilateral CP type in the BoNT-A+iPT treatment group. BoNT-A: botulinum toxin type A; iPT: intensive physiotherapy; AFO: ankle foot orthosis; CP: cerebral palsy.

a cost-minimization analysis. Notably, however, the HUI-uti-mark3 and HUI-uti-mark2 utility scores all showed clinically meaningful improvements of ≥ 0.03 points (25) at the group level (n=65) at both 12 and 24 weeks. The total cost per child for treatment up to primary end-point was significantly higher for

Table II. Linear mixed models analys	es at the primary end-point (12	weeks) and follow-up (24 weeks)
--------------------------------------	---------------------------------	---------------------------------

	BoNT-A +iPT base Mean	Only-iPT base Mean	BoNT-A +iPT 12 weeks Mean	Only-iPT 12 weeks Mean	Estimated difference in treatment effect ^a	95% CI	<i>p</i> -value
Baseline – 12-week comparison							
Gross Motor Function Measure (0–100)							
GMFM66-IS score	69.0	70.2	69.6	72.1	1.25	-0.21-2.70	0.092
AM – actual everyday physical activity level							
Total-counts (*1,000)	553	559	541	621	73.7	-11.6-158.9	0.089
Counts per min	808	846	803	916	76.4	-47.6-200.5	0.222
% Sedentary	72.3	71.1	72.7	68.0	3.42	0.20-6.64	0.038*
Child Health Questionnaire (0–100)							
CHQ-PF28-Physical	35.4	39.2	37.7	38.1	-3.48	-10.19-3.22	0.303
CHQ-PF28-Psychological	48.6	50.2	48.8	49.1	-1.25	-6.05-3.55	0.603
DISABKIDS Questionnaires (0–100)							
CP-impact	63.0	66.4	66.7	67.6	-2.45	-9.65-4.75	0.499
Smileys-proxy	70.1	73.6	67.4	75.1	4.18	-1.90-10.25	0.174
Smileys-child	64.3	74.1	61.6	74.9	3.44	-4.58-11.46	0.394
Health Utility Index (0–1)							
HUI-uti-mark3	0.50	0.50	0.59	0.58	-0.009	-0.120-0.101	0.870
HUI-uti-mark2	0.70	0.70	0.75	0.74	-0.005	-0.078-0.067	0.881
Baseline – 24-week comparison							
Gross Motor Function Measure (0–100)							
GMFM66-IS score	69.0	70.2	70.8	72.6	0.63	-1.37-2.63	0.530
AM – actual everyday physical activity level	0010	, o	, 010	, 210	0100	1107 2100	0.000
Total-counts (*1,000)	549	556	586	539	-54.2	-133-24.3	0.172
Counts per min	803	841	868	815	-89.6	-196-17.1	0.098
% Sedentary	72.5	71.2	71.6	71.5	-1.17	-1.69-4.01	0.412
Child Health Questionnaire (0–100)	72.5	/1.2	/1.0	/1.5	1.1/	1.05 1.01	0.112
CHQ-PF28-Physical	35.3	39.2	37.2	39.0	-2.80	-8.45-2.85	0.325
CHQ-PF28-Psychological	48.5	50.3	50.6	52.0	-0.32	-4.44-3.80	0.877
DISABKIDS Questionnaires (0–100)	10.5	50.5	50.0	52.0	0.52	1.11 5.00	0.077
CP-impact	63.0	66.2	66.9	69.5	-0.62	-8.03-6.79	0.867
Smileys-proxy	70.1	73.5	67.0	76.2	5.73	-1.11-12.57	0.099
Smileys-child	64.2	74.3	66.1	73.6	-2.54	-10.54-5.46	0.527
Health Utility Index (0–1)	0.12	, 115	0011	, 5.0	2.01	10.01 0.10	5.527
HUI-uti-mark3	0.50	0.50	0.55	0.57	0.017	-0.098-0.131	0.771
HUI-uti-mark2	0.70	0.70	0.74	0.73	0.001	-0.080-0.078	0.980

^{*}*p*≤0.05.

^aFor the estimated difference in treatment effect a negative number is in favour of BoNT-A+iPT treatment, and a positive number is in favour of only-iPT treatment at the group level. For the GMFM66-IS, CHQ, DISABKIDS and HUI scores, higher scores indicate better outcomes or adjustment to CP. For the AM outcome measures, higher total-counts (*1,000) and CpM scores indicate better outcomes, for AM % Sedentary, lower scores indicate better outcomes. CI: confidence interval. AFO: ankle-foot orthoses; BoNT-A: botulinum toxin type A; iPT: intensive physiotherapy; GMFM66-IS: Gross Motor Function Measure-66, item set version; AM: Ambulatory Monitoring device; CHQ-PF28: Child Health Questionnaire - proxy version; CP: cerebral palsy; HUI: Health Utility Index. JRM

JRM

BoNT-A+iPT than for only-iPT (8,963 vs 6,182 euro, p=0.001, Table III). The mean difference in cost between the 2 interventions was 2,781 euro, of which 78% was related to BoNT-A procedures, 13% to iPT periods and 9% to casting and/or AFOs.

There were no serious side-effects and/or adverse events related to BoNT-A and/or iPT, but casting periods and/or (re)alignment of AFOs were complicated in a number of cases (Table I). Because the proportion of children with casting periods nearly differed significantly between groups, we performed a post-hoc analysis adjusting for the presence/absence of casting periods in the mixed models; however, this did not change the present findings.

DISCUSSION

There is an international consensus that BoNT-A should not be used as stand-alone treatment; adjunctive interventions to lower extremity BoNT-A injections, such as casting, orthotic management and especially a period of (intensive) PT, are essential components of post-BoNT-A care (4). However, historically, BoNT-A is the actual adjunctive intervention (5). Therefore, we explicitly studied the added value of multi-level BoNT-A injections preceding a period of individually tailored iPT (which was equally intensive in both intervention groups) and the co-interventions casting and/or AFO (which were prescribed employing the same policy in both intervention groups) on clinical outcome at the higher ICF levels. With one exception in favour of only-iPT (AM-measured percentage of sedentary physical activity behaviour), we did not find statistically significant differences between the BoNT-A+iPT and only-iPT interventions for primary effect outcomes, whereas the mean treatment costs per child were significantly higher for BoNT-A+iPT.

Previous studies have discussed the uncertainty regarding the degree to which positive effects of combined BoNT-A and iPT could be attributed to BoNT-A or to higher intensity of PT (7–9). Debate regarding the optimal BoNT-A dose is ongoing (4, 5, 28), and recommendations regarding the ideal dose remain expert-based. In our study, the medical specialists had ample experience with BoNT-A, and they applied the maximum recommended BoNT-A doses per large muscle group. Adjunctive casting and/or (re)alignment of AFOs are part of usual care, but are not necessary for every child with spastic CP. The proportion of children who had problems with their cast(s) during the iPT period was somewhat higher in the only-iPT treatment group; however, casting in the BoNT-A+iPT group did not add to improved effectiveness of combined treatment at the group level.

Critical appraisal of the literature regarding the effectiveness of BoNT-A shows that research questions have mainly been based on an implicit assumption that BoNT-A is the most active component in combined treatment periods. Positive clinical experiences with combined BoNT-A and other therapies may have been unjustly attributed to BoNT-A injections. This may also explain the difficulties in randomization that we and others (29) experienced, exacerbated by BoNT-A safety discussions preceding our study (6).

As iPT appears to be the dominant component for effectiveness in our study, future research should also focus on how to optimize PT content and planning. Currently there is little evidence regarding how to organize PT to be optimally cost-effective (4, 5, 10). The large heterogeneity in spastic CP emphasizes that individualized assessment and treatment are indeed essential (28). However, lack of added value of BoNT-A at the group level does not necessarily imply that children with CP cannot benefit from the injections. There may be a subgroup within the CP population and/ or particular treatment outcomes for which BoNT-A is of added value. This would probably be more easily identified by setting up CP patient registries. As stated by Damiano, it is important not only to focus on mean group results, but to design studies that provide insight into what works for which groups of patients (30).

The main limitations of the current study were its pragmatic design, with 40% randomized participants, and the relatively small sample size of 65 children. A fully randomized controlled trial with a larger number of participants would have been more optimal, including for the economic evaluation. However, there are practical limitations to randomized controlled trials in rehabilitation research (12, 13, 31). It was difficult to convince parents to permit their children to participate randomly because of the strong preferences for one of the interventions (which we found surprising since we compared 2 "fully fledged" interventions). Once in the study, however, families were highly motivated, which resulted in zero drop-outs and very few missing data, both adding to statistical power. It has to be noted, however, that our original power calculations may not have been completely valid for the present number of participants and the distribution across intervention groups. In addition, blinding of outcome assessors and physical therapists accidentally failed for a number of participants, which is a typical difficulty inherent to this type of research (4). Large heterogeneity (even within this subgroup) of the CP population also limits the feasibility of tightly controlling an intervention.

As shown in Table I, there were some imbalances between the larger group that received BoNT-A+iPT and the smaller group that received only-iPT, which

28 F. Schasfoort et al.

may have introduced some bias. Having more severe spastic CP and being older increased the chances of a more extensive BoNT-A history, which may have been perceived as (un)pleasant, thereby explaining preferences, and objections to randomization. It appeared more difficult to identify and enrol participants who preferred only-iPT because BoNT-A+iPT is a generally accepted and most commonly prescribed treatment combination for ambulatory children with spastic CP. We note that it has been reported that receiving preferred treatment does not affect outcomes (32).

For multicollinearity and to maximize statistical power, we only adjusted for BoNT-A history, GMFCS level, age and (non-)randomized participation in the comparative analyses. Of course, we realize that the latter adjustment is debatable from a statistical point of view. Unfortunately there were no children with GMFCS level III in the randomized BoNT-A+iPT subgroup. This was due to a blockwise randomization scheme stratified by GMFCS level per measuring location, with a block length of 4 in the first block and a length of 2 in subsequent blocks. Consequently, baseline differences between the 2 intervention groups were larger for randomized participants than for non-randomized participants. For this reason, and because there were no differences between the 2 intervention groups regarding their baseline scores and the most important patient and treatment characteristics, it was considered justified to perform analyses of BoNT-A+iPT vs onlyiPT with all participants in one comparison. However, because these issues may limit interpretability of our findings, we strongly advocate additional research into the added value of BoNT-A to confirm our findings. The fact that all intervention-related procedures largely resembled routine practice in the Netherlands and that a subgroup was given their preferred treatment adds to the generalizability of results. However, it remains unclear to what degree improvements in our study over time were related to natural development, as we did not have a control group without intervention.

To our knowledge, this industry-independent study is the first to specifically study the added value of BoNT-A injections in a widely used treatment combination, with equally highly intensive periods of physiotherapy, and, if necessary, adjunctive casting and/or AFOs in both intervention groups. In conclusion, at the group level, BoNT-A injections do not improve clinical effect outcomes compared with iPT alone and are not costeffective. Thus, both from a clinical and an economic viewpoint, it is time to critically reconsider the use of BoNT-A injections in treatment aiming at improving gross motor function, physical activity levels and/or HRQoL of 4–12 year-old children with spastic CP.

ACKNOWLEDGEMENTS

The authors greatly appreciate the Netherlands Organisation for Health Research and Development (ZonMW grant number: 170995003) and Rijndam Rehabilitation Centre for their financial support. The authors thank the SPACE BOP study group members, Dr Herwin Horemans, Emiel Sneekes, Eline Bolster, Irma Viola, Karlijn van Beek and Johannes Verheijden, for their substantial contributions to the study. The authors also thank Gerard Borsboom (statistical advice); Dr Wim Hop (randomization scheme); Everett Claridge (English language editing); Kim van Hutten (measurements); Dr Eugene Rameckers (iPT guideline); rehabilitation physicians of VU Amsterdam, Erasmus MC Rotterdam, Rijndam Rehabilitation Rotterdam; and the secondary centres in the Amsterdam region, Revant Breda, Libra Rehabilitation & Audiology Eindhoven, De Hoogstraat Utrecht and De Trappenberg Huizen (patient enrolment), all physical therapists, casting room workers, CPOs and, finally, the participating children and their families. This article has been handled by Editor-in-Chief Kristian Borg.

The authors declare no conflicts of interest.

REFERENCES

- World Health Organization (WHO). International Classification of Functioning. Geneva: WHO; 2001.
- Elkamil AI, Andersen GL, Skranes J, Lamvik T, Vik T. Botulinum neurotoxin treatment in children with cerebral palsy: a population-based study in Norway. Eur J Paediatr Neurol 2012; 16: 522–527.
- Aisen ML, Kerkovich D, Mast J, Mulroy S, Wren TA, Kay RM, et al. Cerebral palsy: clinical care and neurological rehabilitation. Lancet Neurol 2011; 10: 844–852.
- Heinen F, Desloovere K, Schroeder AS, Berweck S, Borggraefe I, van Campenhout A, et al. The updated European Consensus 2009 on the use of Botulinum toxin for children with cerebral palsy. Eur J Paediatr Neurol 2010; 14: 45–66.
- Love SC, Novak I, Kentish M, Desloovere K, Heinen F, Molenaers G, et al. Botulinum toxin assessment, intervention and after-care for lower limb spasticity in children with cerebral palsy: international consensus statement. Eur J Neurol 2010; 17 Suppl 2: 9–37.
- Novak I, McIntyre S, Morgan C, Campbell L, Dark L, Morton N, et al. A systematic review of interventions for children with cerebral palsy: state of the evidence. Dev Med Child Neurol 2013; 55: 885–910.
- Scholtes VA, Dallmeijer AJ, Knol DL, Speth LA, Maathuis CG, Jongerius PH, et al. The combined effect of lowerlimb multilevel botulinum toxin type a and comprehensive rehabilitation on mobility in children with cerebral palsy: a randomized clinical trial. Arch Phys Med Rehabil 2006; 87: 1551–1558.
- Bjornson K, Hays R, Graubert C, Price R, Won F, McLaughlin JF, et al. Botulinum toxin for spasticity in children with cerebral palsy: a comprehensive evaluation. Pediatrics 2007; 120: 49–58.
- Ryll U, Bastiaenen C, De Bie R, Staal B. Effects of leg muscle botulinum toxin A injections on walking in children with spasticity-related cerebral palsy: a systematic review. Dev Med Child Neurol 2011; 53: 210–216.
- Franki I, Desloovere K, De Cat J, Feys H, Molenaers G, Calders P, et al. The evidence-base for basic physical therapy techniques targeting lower limb function in children with cerebral palsy: a systematic review using the International Classification of Functioning, Disability and Health as a conceptual framework. J Rehabil Med 2012; 44: 385–395.
- 11. Sung KH, Chung CY, Lee KM, Lee YK, Lee SY, Lee J, et al.

Journal of Rehabilitation Medicine

www.medicaljournals.se/jrm

Journal of Rehabilitation Medicine

Conflict of interest in the assessment of botulinum toxin A injections in patients with cerebral palsy: a systematic review. J Pediatr Orthop 2013; 33: 494–500.

- Hart T, Bagiella E. Design and implementation of clinical trials in rehabilitation research. Arch Phys Med Rehabil 2012; 93: S117–S126.
- Kersten P, Ellis-Hill C, McPherson KM, Harrington R. Beyond the RCT – understanding the relationship between interventions, individuals and outcome – the example of neurological rehabilitation. Disabil Rehabil 2010; 32: 1028–1034.
- Oeffinger D, Gorton G, Bagley A, Nicholson D, Barnes D, Calmes J, et al. Outcome assessments in children with cerebral palsy, part I: descriptive characteristics of GMFCS Levels I to III. Dev Med Child Neurol 2007; 49: 172–180.
- Bax M, Goldstein M, Rosenbaum P, Leviton A, Paneth N, Dan B, et al. Proposed definition and classification of cerebral palsy, April 2005. Dev Med Child Neurol 2005; 47: 571–576.
- Palisano R, Rosenbaum P, Walter S, Russell D, Wood E, Galuppi B. Development and reliability of a system to classify gross motor function in children with cerebral palsy. Dev Med Child Neurol 1997; 39: 214–223.
- Faigenbaum AD, Kraemer WJ, Blimkie CJ, Jeffreys I, Micheli LJ, Nitka M, et al. Youth resistance training: updated position statement paper from the national strength and conditioning association. J Strength Cond Res 2009; 23: S60–S79.
- Verschuren O, Ada L, Maltais DB, Gorter JW, Scianni A, Ketelaar M. Muscle strengthening in children and adolescents with spastic cerebral palsy: considerations for future resistance training protocols. Phys Ther 2011; 91: 1130–1139.
- Dickinson HO, Parkinson KN, Ravens-Sieberer U, Schirripa G, Thyen U, Arnaud C, et al. Self-reported quality of life of 8–12-year-old children with cerebral palsy: a crosssectional European study. Lancet 2007; 369: 2171–2178.
- Russell DJ, Avery LM, Walter SD, Hanna SE, Bartlett DJ, Rosenbaum PL, et al. Development and validation of item sets to improve efficiency of administration of the 66-item Gross Motor Function Measure in children with cerebral palsy. Dev Med Child Neurol 2010; 52: e48–e54.
- O'Neil ME, Fragala-Pinkham M, Lennon N, George A, Forman J, Trost SG. Reliability and validity of objective measures of physical activity in youth with cerebral palsy who are ambulatory. Phys Ther 2016; 96: 37–45.

- Evenson KR, Catellier DJ, Gill K, Ondrak KS, McMurray RG. Calibration of two objective measures of physical activity for children. J Sports Sci 2008; 26: 1557–1565.
- Landgraf JM, Maunsell E, Speechley KN, Bullinger M, Campbell S, Abetz L, et al. Canadian-French, German and UK versions of the Child Health Questionnaire: methodology and preliminary item scaling results. Qual Life Res 1998; 7: 433–445.
- 24. Baars RM, Atherton CI, Koopman HM, Bullinger M, Power M, group D. The European DISABKIDS project: development of seven condition-specific modules to measure health related quality of life in children and adolescents. Health Qual Life Outcomes 2005; 3: 70.
- 25. Horsman J, Furlong W, Feeny D, Torrance G. The Health Utilities Index (HUI): concepts, measurement properties and applications. Health Qual Life Outcomes 2003; 1: 54.
- 26. Hakkaart-van Roijen L, Tan SS, Bouwmans CAM. [Dutch Manual for Costing: Methods and Reference Prices for Economic Evaluations in Healthcare.] Rotterdam; Dutch Healthcare Insurance Board: version 2010 (in Dutch).
- Gold M, Siegel JE, Russel L, Weinstein MC. Cost-effectiveness in health and medicine. New York: Oxford University Press; 1996.
- Strobl W, Theologis T, Brunner R, Kocer S, Viehweger E, Pascual-Pascual I, et al. Best clinical practice in botulinum toxin treatment for children with cerebral palsy. Toxins (Basel) 2015; 7: 1629–1648.
- 29. Speth L, Janssen-Potten Y, Leffers P, Rameckers E, Defesche A, Winkens B, et al. Effects of botulinum toxin A and/or bimanual task-oriented therapy on upper extremity impairments in unilateral cerebral palsy: an explorative study. Eur J Paediatr Neurol 2015; 19: 337–348.
- Damiano DL. Meaningfulness of mean group results for determining the optimal motor rehabilitation program for an individual child with cerebral palsy. Dev Med Child Neurol 2014; 56: 1141–1146.
- Horn SD, DeJong G, Deutscher D. Practice-based evidence research in rehabilitation: an alternative to randomized controlled trials and traditional observational studies. Arch Phys Med Rehabil 2012; 93: S127–S137.
- 32. Thomas E, Croft PR, Paterson SM, Dziedzic K, Hay EM. What influences participants' treatment preference and can it influence outcome? Results from a primary carebased randomised trial for shoulder pain. Br J Gen Pract 2004; 54: 93–96.