



## IMPLEMENTATION OF CLINICAL QUALITY MANAGEMENT FOR REHABILITATION IN MALAYSIA

Julia Patrick ENKASAN\*, MD<sup>1,2</sup>, Gerold STUCKI\*, MD, MS<sup>3-5</sup>, Sadeeq ALI\*, PhD<sup>1</sup>, Yusniza Mohd YUSOF, MD<sup>6,7</sup>, Hafez HUSSAIN, MD<sup>8</sup> and Lydia Abdul LATIF, MD<sup>1,2</sup>

From the <sup>1</sup>Department of Rehabilitation Medicine, University of Malaya, <sup>2</sup>University Malaya Medical Centre, Malaysia, <sup>3</sup>Department of Health Sciences and Health Policy, University of Lucerne, Lucerne, <sup>4</sup>Swiss Paraplegic Research (SPF), Nottwil, <sup>5</sup>ICF Research Branch, a cooperation partner within the World Health Organization (WHO) Collaborating Centre for the Family of International Classifications in Germany (at DIMDI), Nottwil, Switzerland, <sup>6</sup>Cheras Rehabilitation Hospital, <sup>7</sup>National Head of Rehabilitation Medicine Services, Ministry of Health, Malaysia and <sup>8</sup>SOCOSO Rehabilitation Centre, Malacca, Malaysia

\*Shared first authorship.

**In February 2017, the World Health Organization (WHO) launched its historic "Rehabilitation 2030: A Call for Action". Scaling up rehabilitation in health systems requires concerted action across all 6 components of WHO's Health Systems Framework. For rehabilitation, information about functioning is essential, as it is required for effective rehabilitation at all levels of the health system. What is missing is a countrywide demonstration project involving the implementation of a clinical quality management system for the continuous improvement of rehabilitation, both at the level of clinical care for individual patients and at the level of rehabilitation service provision. Consequently, the Department of Rehabilitation Medicine at the University of Malaya and University Malaya Medical Centre, together with the Cheras Rehabilitation Hospital of the Ministry of Health, and the Social Security Organisation (SOCOSO) Rehabilitation Centre in Malacca, Malaysia, initiated a project to develop a Malaysian-wide clinical quality management system for rehabilitation (CQM-R Malaysia). The objective of this paper is to describe CQM-R Malaysia. First, a conceptual description of a CQM-R based on the International Classification of Functioning, Disability and Health (ICF) is set out. The methods, results and conclusions of a situation analysis conducted in January 2017 are then reported. Finally, the building blocks and implementation action plan developed for CQM-R Malaysia are presented.**

**Key words:** clinical quality management; International Classification of Functioning, Disability and Health; rehabilitation; healthcare system.

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Correspondence address: Gerold Stucki, Swiss Paraplegic Research (SPF), GZI Institute, SZ-6207 Nottwil, Switzerland. E-mail: gerold.stucki@paraplegie.ch

In February 2017 the World Health Organization (WHO) launched its historic "Rehabilitation 2030: A Call for Action" (1). The call is based on the recognition of urgent need to scale up rehabilitation in health systems worldwide. The demand for rehabilitation services will increase in light of population ageing and

the increasing number of persons living with injuries and non-communicable diseases (2). In many parts of the world this demand is not being met. WHO's call for action is embedded in the United Nations' (UN) strategy for Sustainable Development Goals 2030, in which health is seen as an issue that cuts across all 17 goals. As a basic health strategy, rehabilitation aims to optimize the functioning of individuals and the population and to minimize the experience of disability (3, 4).

Scaling up rehabilitation in health systems requires concerted actions across all 6 components of WHO's Health Systems Framework relevant for health systems strengthening: governance, service delivery, financing, workforce, information, and health interventions (5). Although each component is important, health information, and a robust health information system, is vital for the success of all components (6). For rehabilitation, information about functioning is essential (7), both because the aim of rehabilitation is to optimize functioning, but also because functioning complements mortality and morbidity as the essential health indicators across WHO's 5 health strategies (8).

Functioning information is required for effective rehabilitation at all levels of the health system: at the clinical level of professional–patient interaction; the level of service provision; and the level of policies and programmes (9). The standardized documentation of functioning at all 3 levels relies on the reference system provided by WHO's International Classification of Functioning, Disability and Health (ICF) (10). The ICF provides the scientific basis for establishing the standards for "what to document"; the ICF Generic Sets and ICF Core Sets for specific health conditions and situations, such as post-acute care and vocational rehabilitation, as well as the basis for qualitative linking and quantitative mapping of data that is collected using a wide range of data collection tools, including clinical tests and outcome instruments (11). Since scaling up of rehabilitation across the health system relies on functioning information at all levels, it is essential that functioning information is mainstreamed in national health information systems (7).

However, the successful implementation of a standardized documentation of functioning information

at the clinical, services and national rehabilitation programming levels poses a unique challenge, since it depends on the possibility of forging a link between healthcare practice, science and governance (12). In the context of the current collaborative work-plan of the International Society of Physical and Rehabilitation Medicine (ISPRM) and WHO there are increasing efforts toward system-wide implementation of functioning information documentation at the regional and country levels, specifically in Europe (12, 13), China (14, 15), Japan (16) and Switzerland (17).

What is currently missing is a countrywide demonstration project involving the implementation of a clinical quality management system for the continuous improvement of rehabilitation, both at the level of clinical care for individual patients and at the level of rehabilitation service provision. Consequently, the Department of Rehabilitation Medicine at the University of Malaya (UM) and University Malaya Medical Centre (UMMC), together with the Cheras Rehabilitation Hospital of the Ministry of Health, and the Social Security Organisation (SOCSO) Rehabilitation Centre in Malacca have initiated a project to develop a Malaysian-wide clinical quality management system for rehabilitation (CQM-R). This project is understood as a direct response to WHO's "Rehabilitation 2030: A Call for Action", and is intended to serve as a model for clinical quality management for rehabilitation worldwide.

The objective of this paper is to present the details of the Clinical Quality Management System for Rehabilitation in Malaysia (CQM-R Malaysia). First, a brief conceptual description of what constitutes a clinical quality management system based on the ICF is set out. Then, the methods, results and conclusions of a situation analysis of rehabilitation service provision conducted in January 2017 are reported. Finally, the building blocks and implementation action plan developed for CQM-R Malaysia, based on the insights gained from the situation analysis, are presented.

### ICF-BASED CLINICAL QUALITY MANAGEMENT FOR REHABILITATION

Quality management is a process of ensuring continuous improvement in care, and optimization of health outcomes, through a process of learning from successes and increasing the likelihood that these successes will make for stable improvements in clinical decision-making (18). As functioning is the key health indicator for rehabilitation, clinical quality management in rehabilitation is the process of continuous improvement toward the achievement of the outcome of interest, namely optimal functioning. The key to continuous quality management in rehabilitation and elsewhere is standardized informa-

tion that unambiguously communicates functioning outcomes across episodes of care, as well as between research and clinical practice. For rehabilitation, continuous quality management relies on standardized reporting of functioning information and all relevant aspects of clinical practice and service provision. Functioning information that supports continuous outcome improvement is the basis for a "learning health system", encompassing not only clinical care, but programming and ultimately national policy (19). Table SI<sup>1</sup> shows how functioning information can inform CQM-R Malaysia.

## SITUATION ANALYSIS

### Objective

The goal of the situation analysis was to gain a shared understanding of provision of rehabilitation services along the continuum of care and across the perspective of the 3 ministries that provide rehabilitation services. The 3 ministries are: the Ministry of Higher Education (academic centres); the Ministry of Health (Cheras Rehabilitation Hospital and the rehabilitation services at state hospitals, district hospitals and in primary care); and the SOCSO (with its vocational rehabilitation service at Malacca).

### Methods

The situation analysis was planned and coordinated by the head of the Department of Rehabilitation Medicine at UM and UMMC (LAL) and the consultant physical and rehabilitation medicine (PRM) physician responsible for the project (JPE) in cooperation with the head of clinical services of the Cheras Rehabilitation Hospital (YMY) and the Executive Director of SOCSO at Malacca (HH). The analysis was supported by a UM-visiting professor with a background in PRM, health policy and management, and expertise in applying the ICF in healthcare, and who serves as advisor to the International Society of Physical and Rehabilitation Medicine's (ISPRM's) liaison committee to WHO (GS). The situation analysis involved expert consultations and site visits.

At the Department of Rehabilitation Medicine, UMMC, responsible PRM consultants and rehabilitation professionals gave a structure presentation to the project leaders at this site (LAL, JPE, SA, GS) of their services, the numbers of enrolled patients, the workforce, intervention programmes and assessment tools, as well as their current and planned research agenda. The opportunities created by the hospital electronic health information system were discussed with the Deputy Director (Clinical) of the UMMC (Professor Nazirah Hasnan).

<sup>1</sup><http://www.medicaljournals.se/jrm/content/?doi=10.2340/16501977-2283>

For the situation analysis at the Ministry of Health (MOH), the site visits took place at Cheras Rehabilitation Hospital and at the major district hospital at Sungai Buloh. At both locations the services provided, the assessment tools used and quality management and research efforts were presented comprehensively to the hospital leadership and the visiting experts (JPE, GS, SA). Information was provided with respect to the current and future possibilities for documentation using an electronic health information system.

For the situation analysis at SOCSO, its executive director (HH), and its responsible PRM physician (OKG) and rehabilitation manager (TEW) presented the SOCSO's mission and presented and discussed its vocational rehabilitation programme, the current state of implementation of the ICF and assessment tools and the electronic health record system with the expert team (JPE, SA, GS).

## RESULTS

### Academic centres

The UMMC is currently the only 1 of 5 academic medical centres in Malaysia that offers comprehensive rehabilitation services. Until now, the UMMC has also been the only training centre for PRM specialists in Malaysia; in 2018 the University Technology MARA (UiTM) will also develop rehabilitation services and a PRM trainee programme. The services at UMMC are thus representative of the services provided by academic centres under the auspices of the Ministry of Higher Education in Malaysia. These services are summarized in Table I, and a detailed description is available in Table SII<sup>1</sup>.

It was found that all rehabilitation professionals use standardized data collection tools, including clinical tests, expert assessments (e.g. the modified Barthel index (20)) and questionnaires (e.g. SF36 (21) or KOOS (22)). While the teams are well acquainted with these data collection tools, there is currently no standardized documentation system based on the ICF or standardized time-points with few exceptions.

The electronic health record system allows for the addition of forms accepted as standards by the hospital administration. Also, scoring algorithms can be implemented, allowing for immediate access to scores calculated based on data entered on a form.

### Ministry of Health

Under the auspices of the MOH there is a single comprehensive free-standing rehabilitation

facility; the Cheras Rehabilitation Hospital. It serves as a reference centre for rehabilitation services provided at state and district hospitals by the MOH. Table I gives an overview of the organization of health services provided by the MOH. In addition to the rehabilitation services provided at Cheras Rehabilitation Hospital, rehabilitation services are provided at state and major district hospitals under the auspices of MOH's medical division. Under MOH's public health division, physiotherapy and occupational therapy, but no PRM specialist care, is offered at the highest level of community-based health clinics (level 1). The rehabilitation services provided by MOH are summarized in Table I and described in detail in Table SII<sup>1</sup>.

Assessments are used according to standards defined by the MOH. In Hospital Sungai Buloh, an electronic health record system allows for selection of assessment tools for data entry, whilst at Cheras Rehabilitation this documentation is still done manually. While different facilities currently use different systems, a new health information system will be implemented across all MOH's facilities in the next few years.

### Ministry of Human Social Security Organisation

SOCSO is the only centre for vocational rehabilitation, work integration and disability management in Malaysia under the Ministry of Human Resources. Vocational rehabilitation is prioritized over compensation. Rehabilitation professionals document functioning with the wide range of existing ICF Core Sets, including the ICF Core Set for Vocational Rehabilitation. Also, SOCSO is developing a full Rehab-Cycle<sup>®</sup> with assessment, assignment, intervention and evaluation based on the ICF (23, 24), in light of WHO's International Classification

**Table I.** Overview of rehabilitation services in Malaysia identified in the situation analysis. They include the services provided by the University Malaya Medical Center (UMMC), the Ministry of Health (MOH) at its only freestanding rehabilitation hospital at Cheras, as well as at its 16 state and major district hospitals, other district hospital, and health clinics, and by the Social Security Organisation (SOCSO) at its Malacca facility

Partner institution	Context	Rehabilitation services	
UMMC	Acute	Rehabilitation in acute care	
	Post-acute	General post-acute rehabilitation Specialized post-acute rehabilitation	
	Outpatient	General outpatient rehabilitation Specialized outpatient rehabilitation	
MOH	Cheras rehabilitation hospital	Post-acute	Specialized post-acute rehabilitation
		Outpatient	Specialized outpatient rehabilitation
	State hospitals and major district hospitals	Acute	Rehabilitation in acute care
Other district hospital	Post-acute	General post-acute rehabilitation	
	Outpatient	General outpatient rehabilitation	
	Acute	Rehabilitation in acute care	
Health clinic (Level 1)	Outpatient	General outpatient rehabilitation	
	Primary care	Rehabilitation in primary care	
SOCSO	Work integration	Vocational rehabilitation	

of Diseases (ICD) diagnosis and applying the new International Classification of Health Interventions (ICHI) ([www.who.int/classifications/ichi/en/](http://www.who.int/classifications/ichi/en/)) for classifying specific interventions. SOCSO has also developed standards for what to document, when and for whom, very much in line with the envisioned development of clinical assessment schedules (CLASs) (25) for CQM-R.

#### *Decisions regarding implementation*

Based on the widely available expertise in standardized data collection, the experience of SOCSO with applying the ICF, the coherent provision of rehabilitation services across the 3 perspectives, and the openness of the leadership across the partner organizations to cooperate in the continuous improvement of individual patient care and service provision, it was concluded that the implementation of a CQM-R in Malaysia is both important and feasible.

To implement CQM-R in Malaysia the following decisions were made: (i) to establish a governance structure involving the leadership from the 3 perspectives; (ii) to decide on a meaningful name for the project; (iii) to develop a framework for the description of rehabilitation services and the specification of CLASs for these services; (iv) to develop ICF-based standards and ICF-based data collection tools where such standards and tools are currently missing; (v) to develop the capacity for applying the ICF in CQM-R Malaysia; (vi) to align CQM-R Malaysia with other efforts towards improving quality in healthcare in Malaysia; (vii) to identify research opportunities arising from the implementation of CQM-R Malaysia; and finally, (viii) to develop an implementation action plan in light of points 1–7 for the time period 2017–2020, with an outlook of envisioned steps beyond 2020.

#### **GOVERNANCE FOR CLINICAL QUALITY MANAGEMENT SYSTEM FOR REHABILITATION MALAYSIA**

The governance was established in consultation with the leaders from the 3 perspectives. The leadership of the Department of Rehabilitation Medicine at UM consulted with the dean's office at UM (Dean and Deputy Dean for research), the leadership of Cheras Rehabilitation Hospital consulted with the Director General of the MOH, and the leadership of SOCSO consulted with the Chief Executive Officer and the scientific committee for Accident Prevention, Disability Management, and Health Promotion.

The governance model consists of a steering committee, a scientific committee, general and local coordinators. The founding members of the steering committee are the head of the Department of Rehabi-

ilitation Medicine of UM and UMMC (LAL), the head of Rehabilitation Clinical Services at Cheras Rehabilitation Hospital (YMY), and the Executive Director of SOCSO Rehabilitation Center (HH).

The steering committee appointed the head of the scientific committee (JPE) for the period 2017–2020 (with the possibility of re-election). The scientific committee chair is mandated to nominate members in consultation with the 3 partners; the nominees will be confirmed by the steering committee. The steering committee also appointed a general coordinator for the project for the period 2017–2020 (SA) and will appoint coordinators at each partner organization. The steering committee will meet at least once a year; the scientific committee will meet throughout the year as needed for the scientific development and implementation of CQM-R Malaysia.

#### **IDENTIFYING A MEANINGFUL NAME FOR THE PROJECT: CLINICAL QUALITY MANAGEMENT SYSTEM FOR REHABILITATION MALAYSIA**

As the goal of the project is to implement a learning system at the individual level of clinical decision-making, at the level of individual rehabilitation services, and by means of benchmarking across comparable rehabilitation services, the term "quality management" rather than the term "quality assurance" was deemed suitable. To optimize the project's visibility and to foster identification with the project by clinicians from the various disciplines engaged in rehabilitation, the terms clinical and rehabilitation were added resulting in the name "Clinical Quality Management System for Rehabilitation for Malaysia" or simply "CQM-R Malaysia".

#### **FRAMEWORK FOR THE DESCRIPTION OF REHABILITATION SERVICES AND THE SPECIFICATION OF CLINICAL ASSESSMENT SCHEDULES**

A prerequisite for the development of an ICF-based CQM-R is a shared understanding of current rehabilitation services provision and recommendations for the documentation of functioning. This requires the standardized description of rehabilitation services by applying a classification such as the International Classification of Services Organization in Rehabilitation (ICSO-R) (26, 27) and consensus on CLASs (25) that specify "what ICF categories to document, for whom, and when".

Table II shows the framework for the classification of rehabilitation services and the specification of CLASs developed by the steering group (LAL, YMY, HH), the chair of the scientific committee (JPE), the general coordinator (SA) and the advisor (GS).

**Table II.** Framework for the classification of rehabilitation services and the specification of clinical assessment schedules (CLASs). For each of the 7 types of rehabilitation services and corresponding specializations of rehabilitation services (7 specialized post-acute rehabilitation services and 8 specialized outpatient rehabilitation services) a proposal for a default ICF Set and a proposal for optional the International Classification of Functioning, Disability and Health (ICF) sets are shown. They will serve as the starting point for national consensus conferences aiming to specify the CLASs for these rehabilitation services

Framework for the classification of rehabilitation services in Malaysia	Patient group receiving specific rehabilitation services	Selected default ICF set(s)	Selected optional ICF set(s)
<i>Rehabilitation in acute care</i>	Hospitalized patients across a range of health conditions	ICF Generic-6 Set <sup>1</sup> <i>ICF Generic-6 Score</i> <sup>2</sup>	ICF Acute Core Sets <ul style="list-style-type: none"> <li>• Neurological (31)</li> <li>• Cardiopulmonary (32)</li> <li>• Musculoskeletal (33)</li> </ul>
<i>General post-acute rehabilitation</i>	Hospitalized patients across a range of health conditions	ICF Generic-30 Set <sup>3</sup> <i>ICF Generic-30 Score</i> <sup>4</sup> (one and two component versions)	ICF Post-Acute Core Sets (34) <ul style="list-style-type: none"> <li>• Neurological (35)</li> <li>• Cardiopulmonary (36)</li> <li>• Musculoskeletal (37)</li> <li>• Geriatric (38)</li> </ul>
<i>Specialized post-acute rehabilitation</i> (7 specializations)	Hospitalized patients with a specific health condition or age 7 specializations: neurological conditions, acquired brain injury (ABI), spinal cord injury (SCI), musculoskeletal conditions, cardiovascular conditions, amputation, and paediatrics	ICF Generic-30 Set <i>ICF Generic-30 Score</i> + ICF Core Sets <sup>5</sup> <i>ICF Core Sets Scores</i> <sup>6</sup>	<i>Documentation of comorbidity</i> ICF Post-Acute Core Sets <ul style="list-style-type: none"> <li>• Neurological (35)</li> <li>• Cardiopulmonary (36)</li> <li>• Musculoskeletal (37)</li> <li>• Geriatric (38)</li> </ul>
<i>General outpatient rehabilitation</i>	Patients living in the community and presenting with 1 out of a range of health conditions referred to an outpatient facility of a hospital irrespective of health condition <ul style="list-style-type: none"> <li>• after acute or post-acute care or</li> <li>• by a doctor practicing outside the hospital</li> </ul>	ICF Generic-30 Set <i>ICF Generic-30 Score</i>	<i>Documentation of comorbidity</i> ICF Core Sets for Chronic Conditions (11, 39)
<i>Specialized outpatient rehabilitation</i> (8 specializations)	Patients living in the community and presenting with a specific health condition referred to an outpatient facility of a hospital <ul style="list-style-type: none"> <li>• after acute or post-acute care</li> <li>• by a doctor practicing outside the hospital</li> </ul> 8 specializations: neurological conditions, ABI, SCI, musculoskeletal conditions, cardiovascular conditions, amputation, pain, and paediatrics	ICF Generic 30 Set <i>ICF Generic-30 Score</i> + ICF Core Sets <sup>7</sup> <i>ICF Core Sets Scores</i> <sup>8</sup>	<i>Documentation of comorbidity</i> ICF Core Sets for Chronic Conditions (11, 39)
<i>Rehabilitation in primary care</i>	Patients living in the community and presenting with 1 out of a range of health conditions to a community health clinic <ul style="list-style-type: none"> <li>• after acute, post-acute care or outpatient care</li> <li>• an acute health condition</li> <li>• an episode of a chronic health condition</li> </ul>	ICF Generic-7 Set <sup>9</sup> <i>ICF Generic-7 Score</i> <sup>10</sup>	ICF Generic-30 Set <i>ICF Generic-30 Score</i>
<i>Vocational rehabilitation</i>	Patients living in the community presenting with a range of health conditions referred to a vocational rehabilitation service in diverse settings. <ul style="list-style-type: none"> <li>• The person must be insured by SOCSO</li> <li>• Post-acute referrals by primary treating doctors or rehabilitation physicians</li> <li>• Chronic case by PRM doctors, general practitioners, disability case manager, welfare officers, recommended by medical board and self-referral</li> </ul>	ICF Core Set Vocational Rehabilitation WORQ (40)	<i>Documentation of comorbidity</i> ICF Core Sets for Chronic conditions (11, 39)

<sup>1</sup>*ICF Generic-6 Set*: This contains the 7 categories of the ICF Generic Set (ICF Generic-7) (41) minus d850 remunerative employment. As remunerative employment is not applicable in acute care, the version of the ICF Generic Set with 6 categories is most suitable. It enables clinicians to follow a patient's functioning along the continuum of care from acute to post-acute care and to the community (rehabilitation in primary care and specialized outpatient care).

<sup>2</sup>*ICF Generic-6 Score*: Informed by the lessons learned from the Chinese experience in developing a scoring algorithm and corresponding transformation tables. A transformation table for Malaysia will be calculated based on data collection at UMMC, Cheras Rehabilitation Hospital and SOCSO.

<sup>3</sup>*ICF Generic-30 Set*: This contains the 30 categories of the ICF Generic Set for Rehabilitation (42). It enables rehabilitation professionals to follow a patient's functioning from post-acute care to outpatient care and to vocational rehabilitation across the wide range of health conditions requiring rehabilitation.

<sup>4</sup>*ICF Generic-30 Score*: The scoring algorithm for the ICF Generic Set for Rehabilitation is currently in development. It will provide a score including 29 categories. Remunerative employment (d850) is reported separately as it does not fit on the same dimension. There is also a 2-component version that differentiates the body functions categories from the activities and participation categories.

<sup>5</sup>*ICF Core Sets for health condition groups, specific health conditions or patient groups suitable for the specialized post-acute context*:

- Neurological rehabilitation or Neuro-medical rehabilitation: ICF Core Set for Neurological Conditions in the post-acute context (35), the Brief ICF Core Set for Stroke (43) or a combination of both.
- *ABI (Acquired Brain Injury)*: Brief ICF Core Set for Traumatic Brain Injury (TBI) (44).
- *SCI post-acute*: Brief ICF Core Set for SCI in the post-acute context (45), the Brief ICF Core Set for SCI in the long-term context (46), and the Statistical ICF Core Set for SCI (47) or any combination of all 3 ICF Core Sets.
- Musculoskeletal conditions: ICF Core Set for Musculoskeletal Conditions (37).
- Cardiovascular conditions: ICF Core Set for Cardiopulmonary Conditions (36), the Brief ICF Core Set for Ischemic Heart Disease (48) or a combination of both.
- Amputation Rehabilitation: ICF Core Set for Lower Limb Amputation under development (49).
- Multiple sclerosis: ICF Core Set for Multiple Sclerosis (50).
- Paediatric patients: An ICF Generic Set for the paediatric population is in the planning stage.

<sup>6</sup>*ICF Core Set Scores for health condition groups, specific health conditions or patient groups suitable for the specialized post-acute context*:

A first ICF Core Set Score is in the planning for SCI. Other scores are envisioned for the future

<sup>7</sup>*ICF Core Sets for health condition groups, specific health conditions or patient groups suitable for the specialized outpatient context*:

As described in <sup>5</sup>, with the addition of pain, for which the ICF Core Set for Chronic Widespread Pain (51) is proposed.

<sup>8</sup>*ICF Core Set Scores for the outpatient context*: as described in 6.

<sup>9</sup>*ICF Generic-7 Set*: In the outpatient context, all 7 categories of the ICF Generic Set including remunerative employment (d850) are relevant.

<sup>10</sup>*ICF Generic-7 Score*: The scoring algorithm includes 6 categories of the ICF Generic Set. Remunerative employment (d850) should be reported separately as it does not fit on the same dimension as the other 6 ICF categories of the ICF Generic Set-7.

### *Comprehensive description of rehabilitation services in Malaysia*

From a health system perspective, 7 types of rehabilitation services were identified, ranging from rehabilitation in acute care to general and specialized rehabilitation in post-acute and outpatient care, rehabilitation in primary care and vocational rehabilitation. For specialized post-acute rehabilitation 7 subtypes of rehabilitation services and for specialized outpatient rehabilitation 8 subtypes were identified (Table II).

The description of rehabilitation services including both a classification-based and a narrative description of the 7 main types and 7 plus 8 subtypes of rehabilitation services will be developed in 2017 under the auspices of the steering group in close collaboration with the developers of ICSO-R (26, 27). The proposed descriptions will be discussed and possibly modified in consensus conferences in 2018. It is envisioned that 7 conferences will be held to reach agreement on the descriptions of the 7 main types and the 7 subtypes for specialized post-acute rehabilitation and 8 subtypes for specialized outpatient rehabilitation. The conferences for specialized post-acute rehabilitation and specialized outpatient rehabilitation will include consensus-building for 7 and 8 subtypes, respectively. To achieve a coherent set of CLASs it is envisioned that experts across specific health conditions will be involved in the consensus building for a specific health condition.

### *Framework for the specification of clinical assessment schedules*

Table II presents the proposed ICF categories to be included in CLASs (25) for the 7 types and 7 plus 8 subtypes. The proposed domains for CLASs, as shown in Table II, will be discussed and possibly modified in the consensus conferences in 2018. These conferences will apply a method developed by the ICF Research Branch in cooperation with the UEMS PRM Section and Board (12, 25).

In addition to reviewing and potentially modifying the proposed ICF categories to be included in CLASs, participants will be asked to reach agreement on the time-points at which the proposed ICF categories should be documented and to develop recommendations for data collection in specialized rehabilitation beyond documentation of the ICF Generic-30 Set.

Tables SIII and SIV<sup>1</sup> show the description of use and ICF categories of the ICF Generic-6 Set, the ICF Generic-7 Set and the ICF Generic-30 Set, respectively. To illustrate the combined documentation applying the ICF Generic-30 Set together with ICF Core Sets for specialized rehabilitation, Table SIV<sup>1</sup> illustrates the example of Spinal Cord Injury. The proposed docu-

mentation for vocational rehabilitation, the Brief ICF Core Set for Vocational Rehabilitation with 13 categories, and the Derived ICF Core Set for WORQ with 44 categories, that served as basis for the development of the Work Questionnaire (WORQ) (28, 40) are shown.

## **DEVELOPMENT OF ICF-BASED STANDARDS AND ICF-BASED DATA COLLECTION TOOLS**

### *ICF Core Sets: Standards for "what to document" (11)*

The situation analysis showed that for 2 indications there is no currently available ICF Core Set: amputee rehabilitation in specialized outpatient rehabilitation, and paediatric specialized rehabilitation both in the post-acute and outpatient context.

A PRM consultant of the department of rehabilitation medicine at UMMC (CTY) together with the general coordinator (SA) will therefore cooperate in the final studies toward developing the ICF Core Set for Amputee Rehabilitation under the auspices of the International Society of Prosthetics and Orthotics (ISPO) (49).

By the same token, the department will support the ICF Research Branch in its effort to develop a preliminary version of an ICF Core Set for Pediatric Rehabilitation based on current experiences with the development of ICF Core Sets for children and youth with cerebral palsy (52).

### *Country modification of the ICF Clinical Tool for Malaysia*

The situation analysis also showed that there is a need for a clinical data collection tool that is simple and can be used across rehabilitation services in Malaysia. Therefore it was decided to develop a translation of the ICF Clinical Data Collection Tool for Bahasa Malaysia based on an established protocol (13, 15). Since most health professionals speak English or Bahasa Malaysia with patients who are native speakers of Tamil and Mandarin, it was not considered a necessity at this point to develop a language version in Tamil and Mandarin. If necessary a Tamil and Mandarin version can be developed later.

To increase user acceptance, it was decided to use the name "ICF Clinical Tool" rather than "ICF Clinical Data Collection Tool" (13, 14).

### *Country modification of the Work Questionnaire (WORQ) for Malaysia*

With respect to vocational rehabilitation there was a decision to consider the use of the work questionnaire

(Work Rehabilitation Questionnaire (WORQ); <http://myworq.org/>) (26) developed in collaboration with the International Labor Organization (ILO) and the ICF Research Branch. In the near future it will be possible to report data collected with WORQ for the ICF categories of the Derived ICF Set for the WORQ (28, 40) in 44 categories, which include the 13 categories of the Brief ICF Core Set for Vocational Rehabilitation (40) using transformation tables that will be developed for Malaysia based on Rasch methodology (11, 53, 54). As the WORQ can be both expert- and self-administered it allows for follow-up of patient's functioning after a stay at SOCSO vocational rehabilitation through postal questionnaires. The development of a translation of the WORQ for Bahasa-Malaysia and the development of country modification of the English reference version and the Chinese-Mandarin reference version for Malaysia can follow an established protocol and will be supported by the ICF Research Branch and the lead developer of the WORQ (Professor Reuben Escorpizo).

### CAPACITY BUILDING

The situation analysis showed that SOCSO had the most in-depth ICF training of its staff. UMMC had ICF training for its leaders, as did MOH. It was therefore decided to implement a systematic training programme for the ICF and CQM-R.

As a first step a train-the-trainer workshop will be given by the coordinator of the ICF Research Branch (Melissa Selb). It is intended to involve trainers representing the various rehabilitation professionals across the 3 partner organizations to ensure target group-specific training.

The second step foresees the development of a training programme for CQM-R developed jointly by the 3 partners of CQM-R Malaysia and the ICF Research Branch. Through the development of joint training materials and regular training for new staff a coherent implementation of CQM-R Malaysia can be assured.

### ALIGNMENT OF CQM-R WITH OTHER EFFORTS TOWARDS IMPROVING QUALITY IN HEALTHCARE IN MALAYSIA

The situation analysis highlighted the role the Malaysian Society for Quality in Health (MSQH) should play in the implementation of CQM-R Malaysia. MSQH is recognized as the national accreditation body and standards for healthcare facilities and services. MSQH is dedicated to improving the quality of Malaysia's healthcare through voluntary accreditation. Specific to Rehabilitation Medicine Services (Service Standard

17 in MSQH 5<sup>th</sup> Edition draft), the quality indicators cover a wide range of aspects, including documentation as to whether functioning is being assessed in a timely manner. Therefore, CQM-R is complementary to MSQH, as it provides a measurement-improvement system for functioning.

At UMMC the situation analysis also resulted in the identification of reporting requirements for ongoing cohort studies in the area of tuberculosis. While we identified no direct overlap, the potential redundancy of information needs to be considered in the implementation phase.

### RESEARCH OPPORTUNITIES ARISING FROM THE IMPLEMENTATION OF A CLINICAL QUALITY MANAGEMENT SYSTEM FOR REHABILITATION MALAYSIA

Based on the presentations by the various teams at UMMC it became clear that many data collection efforts in the context of research projects could greatly benefit from a standardized documentation of functioning information.

Firstly, standardized documentation for rehabilitation services using CLASs allows for clinical epidemiological analysis of functioning outcomes in relation to person and health condition characteristics as well as inputs in terms of rehabilitation interventions and modifications of the environment and strengthening of the resources of the person.

Secondly, it allows for quasi-experimental studies; for example, a year-by-year comparison of outcomes occurring, with documented changes in clinical rehabilitation care provision. It will be possible to make concrete changes in clinical rehabilitation care by adding, for example, a new intervention and to compare the outcomes with the outcomes of previous years.

Thirdly, it will be possible to efficiently implement nested projects; that is, more in-depth data collection in addition to the standard data collection required as dependent or independent variables for a specific project with a concrete hypothesis. Also, randomized trials become feasible with this model.

### IMPLEMENTATION ACTION PLAN FOR THE TIME-PERIOD 2017–20 AND AN OUTLOOK BEYOND 2020

Table SI<sup>1</sup> shows the implementation action plan integrating the key elements described above. The most important consideration for implementation is a stepwise process at the clinical and service level, as summarized in Table III.

**Table III.** Clinical quality management at the clinical and rehabilitation service level (informed by Table I in Stucki G, Bickenbach J. Functioning information in the learning health system. Eur J Phys Rehab Med 2017; 53: 139–143)

Health system level	Clinical quality management	Data management foreseen for CQM-R in Malaysia
<b>Clinical Level</b>		
<i>Rehabilitation of an individual patient along the continuum of care</i>		
<b>Standardized documentation of functioning</b>	<p>Documenting a person's functioning as specified in CLASs across consecutive rehabilitation services along the continuum of care</p> <ul style="list-style-type: none"> <li>• <b>Monitoring</b> of functioning outcomes</li> <li>• <b>Assignment</b> of patients to suitable rehabilitation services</li> </ul>	<p><b>Documentation</b> with standards for different situations</p> <ul style="list-style-type: none"> <li>• Rehabilitation in acute care: ICF Generic-6 Set</li> <li>• General rehabilitation: ICF Generic-30 Set</li> <li>• Specialized rehabilitation: ICF Generic-30 Set + applicable ICF Core Sets</li> <li>• Rehabilitation in primary care: ICF Generic-7 Set</li> <li>• Vocational Rehabilitation: ICF Vocational Rehabilitation Set</li> </ul> <p><b>Data collection</b></p> <ul style="list-style-type: none"> <li>• With the ICF Clinical Tool<sup>1</sup> (11, 13, 15)</li> <li>• According to CLASs (national consensus)</li> <li>• Option for data entry directly into electronic health records</li> </ul> <p><b>Reporting</b></p> <ul style="list-style-type: none"> <li>• Functioning profiles, functioning item maps and ICF-Generic-6 Scores<sup>2</sup> for each CLAS-time-point</li> <li>• Functioning trajectories for functioning scores along CLASs time-points of consecutive rehabilitation services (realized vs predicted trajectories)</li> </ul>
<b>Rehabilitation management</b>	<p>Devising and adjusting functioning-informed <b>rehabilitation plans</b> across consecutive rehabilitation services</p> <ul style="list-style-type: none"> <li>• <b>Monitoring</b> of functioning outcomes along the continuum of rehabilitation services</li> <li>• <b>Assignment</b> of the patient to consecutive rehabilitation services</li> </ul> <p>Implementation of the rehabilitation plan in a specific <b>Rehab-Cycle (23, 24)</b> by a multidisciplinary team</p> <ul style="list-style-type: none"> <li>• Assessment of functioning and specification of functioning goals for the long-term (expected functioning level of a person returning to or living in the community), mid-term (expected functioning level at the end of the stay at a designated rehabilitation service) and short-term (expected functioning upon completion the current Rehab-Cycle)</li> <li>• Assignment of rehabilitation professionals to clinical interventions (can be coded with the International Classification of Health Interventions (ICHI); <a href="http://www.who.int/classifications/ichi/en/">http://www.who.int/classifications/ichi/en/</a>) aimed at intervention targets related to the short-term functioning goals</li> <li>• Intervention(s) are conducted by the designated member(s) of the multidisciplinary team</li> <li>• Evaluation of short-, mid- and long-term functioning goal achievement.</li> </ul> <p>The individual patient functioning trajectory can also be evaluated against a predicted functioning trajectory (calculated with cumulative data of patients with similar health conditions and, functioning- and person characteristics)</p> <p>The results of this evaluation informs the planning of subsequent Rehab-Cycles in light of mid-term and long-term goals, as specified in the rehabilitation plan</p>	<p><b>Documentation</b> with ICF-based tools including</p> <ul style="list-style-type: none"> <li>• Categorical Profile (functioning profile with long-term, mid-term, and short-term goals as well as specific goals for each intervention target)</li> <li>• Intervention table (intervention targets, interventions, responsible rehabilitation professional)</li> <li>• Evaluation display (profile over time, goal achievement, trajectories showing the results of an evaluation at 3 time-points)</li> </ul> <p><b>Data collection</b></p> <ul style="list-style-type: none"> <li>• With the ICF Clinical Tool (for the ICF Generic-24 Set)</li> <li>• Recommended data collection tools that provide information on all categories of the applicable ICF Core Set<sup>3</sup></li> </ul> <p><b>Data transformation</b></p> <ul style="list-style-type: none"> <li>• Data collected with the ICF Clinical Tool and the recommended data collection tools will be transformed according to transformation tables and reported on the respective common metric</li> </ul> <p><b>Reporting</b></p> <ul style="list-style-type: none"> <li>• Functioning goals, functioning targets, functioning profiles, functioning item maps and functioning scores for each time-point</li> <li>• Functioning trajectories for <b>functioning goals</b> and <b>functioning targets</b>, functioning scores, along the continuum of care (predicted vs realized)</li> </ul>
<b>Service Level</b>		
<i>Rehabilitation service provision along the continuum of care</i>		
<b>Individual rehabilitation service provider</b>	<p>Optimizing rehabilitation service provision through step-by-step adjustments in light of</p> <ul style="list-style-type: none"> <li>• Functioning outcomes over time</li> <li>• Anonymous feedback from benchmarking</li> <li>• New scientific evidence on best practices of rehabilitation service provision</li> </ul>	<p><b>Monitoring and benchmarking</b> of functioning outcomes over time</p> <ul style="list-style-type: none"> <li>• Calculation of functioning outcomes (functioning scores based on transformed data) at specific time windows (at least yearly) controlling for "case-mix" (person-, health condition-, and functioning characteristics)</li> <li>• Comparison of functioning outcomes at different time-points</li> </ul>
<b>Rehabilitation service programs</b>	<p>Optimizing the operational management of Rehabilitation Service Programs (see Table SII<sup>1</sup>) through adjustments in light of</p> <ul style="list-style-type: none"> <li>• Functioning outcomes of Rehabilitation Service Programs over time</li> <li>• Factors associated with superior and poor functioning outcomes across comparable services (anonymous feedback to individual services)</li> <li>• New scientific evidence on best practices of rehabilitation service provision</li> <li>• Results of quasi-experimental studies examining the impact of defined changes in service provision</li> </ul>	<p><b>Monitoring and benchmarking</b> of Rehabilitation Service Programs</p> <ul style="list-style-type: none"> <li>• Monitoring of functioning outcomes of Rehabilitation Service Programs along the continuum of care and for subsequent time windows (at least yearly)</li> <li>• Comparison of functioning outcomes across comparable rehabilitation services controlling for "case-mix" (person-, health condition-, and functioning characteristics)</li> </ul>

<sup>1</sup>In Malaysia the term "ICF Clinical Tool" will be used rather the original name "ICF Clinical data Collection Tool".  
<sup>2</sup>Functioning scores for the ICF Generic 6 Set and ICF Generic 24 Set are initially calculated based on transformation tables developed in China. Transformation tables modified for Malaysia will be developed and used for reporting.  
<sup>3</sup>Data collection tools are recommended by CQM-R steering committee based on evidence-informed proposals by the scientific committee. The ICF categories specified in the respective data collection tool can be rated using either the Numerical Rating Scale (0–10) or established clinical tests (e.g. the Berg-Balance Scale (55)), expert assessments (e.g. the Spinal Cord Independence Measure (54), the Modified Barthel Index (20), ICF-based Questionnaires (ie the Work Questionnaire WORQ (28), the Ankylosing Spondylitis Health Index (56) or other questionnaires (e.g. the SF-36 (21), the Oswestry Questionnaire (57) or the KOOS Questionnaire (22)).

At the clinical level, the first goal is to systematically implement standardized data collection with the ICF Clinical Tool across rehabilitation services, as shown in Table II for the time-points specified in the consensus conferences in 2018.

The implementation of rehabilitation management, including a rehabilitation plan with sequential rehabilitation cycles, will be achieved through a demonstration project for SCI rehabilitation in close collaboration with a similar project at Swiss Paraplegic Research (SPF) and the Swiss Paraplegic Center (SPZ). Informed by this project, other multi-disciplinary teams engaged in specialized post-acute and outpatient rehabilitation will be encouraged to implement an ICF-based rehabilitation management programme.

Following the implementation of CQM-R at the clinical level, planned for 2017–2020, it is envisioned that measurement-for-improvement systems for individual rehabilitation services will be implemented. This will be coordinated with the possible governmental efforts to develop and implement benchmarking across rehabilitation programmes with the goal of learning how to achieve best-functioning outcomes. To inform these developments, SOCSO is considering a demonstration project on implementing a measurement-for-improvement system for an individual rehabilitation service, as it can rely on an already implemented ICF-based documentation system.

## DISCUSSION

The project presented here provides a sound basis for the system-wide implementation of CQM-R in Malaysia at all levels, ranging from clinical decision-making to continuous improvement of individual rehabilitation services and benchmarking across Rehabilitation Service Programmes.

To the best of our knowledge the implementation of CQM-R Malaysia is the first of its kind worldwide, as it aims to develop a countrywide and ICF-based measurement improvement system at both the clinical and service level. The CQM-R Malaysia project is also unique as it comprehensively integrates rehabilitation services under the auspices of the Ministry of Higher Education, the MOH, and the Ministry of Human Resources with its Social Security Organisation.

As this is a pioneer project it will encounter a number of challenges. The first challenge is the application of ICSO-R (26, 27), a classification that is currently being refined. The collaboration with the developers of ICSO-R will ensure that the description of rehabilitation services in Malaysia is closely aligned with ICSO-R. In turn, the Malaysian experience in applying the current version of ICSO-R is expected to provide valuable lessons on how to improve the classification.

Secondly, the method for developing CLASs currently relies on a single experimental workshop (25). Consequently, this methodology will need to be developed further during the consensus conferences planned for 2018. The experience of conducting the consensus conferences in Malaysia is expected ultimately to inform the development of a protocol that can be used by countries worldwide aiming for the system-wide implementation of the ICF in rehabilitation as well as the implementation of a national CQM-R.

Thirdly, the implementation will rely on both paper-based and electronic documentation, depending on the IT options offered at the different facilities. Having 2 different modes of documentation provides the opportunity to qualitatively study the similarities and differences, as well as the utility of these 2 implementation modes in implementing the ICF and CQM-R. The lessons learned from Malaysia can then be shared with the international scientific community. Moreover, the experience of integrating ICF tools in existing electronic health record systems could provide valuable practical information to other medical specialties interested in the documentation of functioning including primary care, geriatrics and anaesthesiology.

The project also provides the opportunity to further strengthen rehabilitation in practice, science and governance, and to spearhead the implementation of the ICF in health information systems, both at the clinical and national statistical level. It also emphasizes the importance of functioning information beyond the rehabilitation community to stakeholders of the health-care system at large, including policymakers.

## CONCLUSION

The proposal for implementing the ICF in rehabilitation and CQM-R in Malaysia presented in this paper is only the starting point for scaling up rehabilitation services in Malaysia. It promotes the flexibility of adding rehabilitation services or modifying currently offered services and the continuous improvement of the health system at all levels by learning from its implementation experience. Last but not least, in line with WHO's "Rehabilitation 2030: A Call for Action", the Malaysian proposal can also serve as a model framework for other countries that are striving to scale up rehabilitation services.

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