

NEURONAVIGATED HIGH-FREQUENCY REPETITIVE TRANSCRANIAL MAGNETIC STIMULATION FOR CHRONIC POST-STROKE DYSPHAGIA: A RANDOMIZED CONTROLLED STUDY

Ivy K. Y. CHENG, BSc¹, Karen M. K. CHAN, PhD^{1*}, Chun-sing WONG, MBChB², Leonard S. W. LI, MBBS³, Karen M. Y. CHIU, BASc¹, Raymond T. F. CHEUNG, MBBS, PhD⁴ and Edwin M. L. YIU, PhD¹

From the ¹Division of Speech and Hearing Sciences, ²Division of Diagnostic Radiology, ³Department of Medicine, and ⁴Department of Neurology, The University of Hong Kong, Pokfulam, Hong Kong, China

Objective: There are potential benefits of repetitive transcranial magnetic stimulation (rTMS) in improving swallowing functions after stroke; however, few studies have been performed in the chronic stroke population. This study aims to distil the key effects of rTMS on swallowing functions and swallowing-related quality of life.

Methods: Twenty-two participants with chronic post-stroke dysphagia were randomly assigned into active or sham rTMS groups. Seven participants withdrew from the study, thus data from 15 participants (mean age 64.6 years) were analysed. Participants received 3,000 pulses of 5 Hz rTMS (active: $n=11$; sham: $n=4$) on the tongue area of the motor cortex for 10 days over a period of 2 weeks. All participants were assessed 1 week before, and 2 months, 6 months and 12 months after stimulation. Outcomes were measured by a videofluoroscopic swallowing study, swallowing-related quality-of-life questionnaire and Iowa Oral Performance Instrument.

Results: No statistically significant effects were identified for any outcome measures.

Conclusion: This study indicates that 5 Hz rTMS applied over the tongue area of the motor cortex is not effective for improving swallowing function in individuals with chronic post-stroke dysphagia. Possible explanations for these non-significant results are discussed. Future studies should explore the potential of the current protocol in conjunction with conventional dysphagia therapy.

Key words: neurorehabilitation; dysphagia; repetitive transcranial magnetic stimulation; stroke.

Accepted Apr 7, 2017; Epub ahead of print XX, 2017

J Rehabil Med 2017; 49: 475–481

Correspondence address: Karen M. K. Chan, 7/F Meng Wah Complex, Swallowing Research Laboratory, Division of Speech and Hearing Sciences, The University of Hong Kong, Pokfulam, Hong Kong, China. E-mail: karenckm@hku.hk

Repetitive transcranial magnetic stimulation (rTMS) is a rapidly emerging neurorehabilitation technique. Studies have shown that rTMS can improve limb motor functions (1–3), language functions (4, 5), and swallowing functions (6–12) after stroke. In this study, the effects of rTMS on the swallowing functions of individuals with chronic post-stroke

dysphagia were investigated. Dysphagia is a common complication after stroke, the prevalence of which ranges from 41% to 78% (13–17). The quality of life of individuals with dysphagia is often hampered by discomfort and anxiety during eating, and by the need for special mealtime arrangements, which may hinder social interaction during mealtimes (18). Treatments that aim to restore or improve swallowing functions after stroke; for example, exercises for the swallowing muscles (19), are commonly used in clinical settings. However, these treatments require the active participation of patients and, as such, the efficacy of treatment depends largely on patient compliance. Individuals with cognitive impairments may find it difficult to follow the instructions.

rTMS has been proposed as an alternative post-stroke dysphagia treatment. It is a non-invasive technique that modulates brain activity, and thereby induces physiological changes, using electromagnetic induction. An advantage of rTMS is that the patients do not need to be actively engaged during treatment, thus overcoming issues of patient compliance and the ability to understand instructions. Studies have shown that both high- (3 Hz and 5 Hz) and low- (1 Hz) frequency rTMS can improve swallowing functions of acute, subacute and chronic stroke patients (6–9, 11, 12). A previous study conducted by our team found preliminary evidence for using 10 sessions of 5 Hz rTMS applied to the tongue area of the motor cortex as a treatment for chronic post-stroke dysphagia (6). Although these studies showed therapeutic potential of rTMS in post-stroke dysphagia, stronger evidence is still needed to confirm its efficacy, especially for those with chronic (>1 year) dysphagia.

The current study aimed to investigate the short- (2 months) and long-term (6 and 12 months) effects of 5 Hz rTMS on chronic post-stroke dysphagia. The stimulation target was determined based on 2 factors. Firstly, the tongue is important in transportation of food boluses during the oral phase of swallowing, and studies have shown that swallowing functions of post-stroke dysphagic individuals can be improved by improving tongue functions (20–22). Moreover, the affected hemisphere was stimulated based on the evidence that high-frequency rTMS (>1 Hz) applied over the stroke hemisphere could increase cortical ex-

citability and improve swallowing functions in stroke patients (7, 8). Therefore, the current study hypothesized that by stimulating the tongue area of the motor cortex of the affected hemisphere using 5 Hz rTMS, tongue motor functions could be improved, and hence swallowing functions and swallowing-related quality of life may also be improved.

METHODS

This was a double-blinded, randomized controlled study. The participants and assessors were not aware of group assignment. Data were collected from September 2013 to September 2015. The study was approved by the ethics committee of the Institutional Review Board of the University of Hong Kong/Hospital Authority Hong Kong West Cluster (reference number: UW 14-193). Signed informed consent was obtained from all participants prior to data collection.

Trial registration

The study was registered with the HKU Clinical Registry (Identifier: HKUCTR-1868).

Participants

Inclusion criteria were: presence of post-stroke dysphagia for at least 12 months; adequate cognitive ability to follow simple instructions; aged below 80 years; and able to sit upright for at least 30 min. Exclusion criteria included: previous history and/or family history of epilepsy; history of head injury or neurological disease other than stroke; neurosurgery; oral and maxillofacial surgery; dysphagia prior to stroke; presence of magnetic implants inside the body; and medically unstable and on medications that lower the neural threshold (23). All participants were randomly assigned to the active rTMS group or the sham rTMS group using a randomly-generated sequence. Each participant underwent magnetic resonance imaging (MRI) of the brain before stimulation. The site of brain lesions was confirmed by a radiologist (author WCS) (Table I).

Obtaining resting motor threshold

Before the first rTMS session, the resting motor threshold (RMT) of each participant was obtained to determine the stimulation intensity. The motor evoked potentials (MEPs) of the tongue were recorded using 2 silver/silver chloride electrodes mounted on a mouthpiece, which were connected to the built-in of theBrainsight™ neuronavigation system (Rogue Research Inc., Montreal, Canada). The electrodes were placed on the surface of the tongue contralateral to the stimulation side. Single TMS pulses were delivered onto the tongue area of motor cortex to elicit MEPs from the tongue. The RMT was defined as the minimum stimulus intensity required to elicit 5 responses of 50 μ V or above in 10 consecutive trials (50% successful MEPs) (23). Once the stimulation site was identified, the site was marked onto the participant's MRI scan using the neuronavigation system.

Repetitive transcranial magnetic stimulation (rTMS)

The experimental group received 30 100-pulse trains of 5 Hz rTMS, with inter-train interval of 15 s, per day for 10 days over 2 weeks.

Biphasic rTMS pulses were delivered through a 70-mm Double Air Film coil (Magstim®, Whitland, UK) attached to a Magstim Rapid² (Magstim®). rTMS was applied at 90% RMT over the tongue area of the motor cortex of the affected hemisphere, as identified and retrieved from the previous MEP session using the neuronavigation system (Table I). For participants with bilateral lesion, the left hemisphere was stimulated due to left hemispheric dominance for swallowing (24). The sham group received sham rTMS via a 70-mm Double Air Film sham coil (Magstim®), which had an identical appearance and noise as the real coil, but does not deliver active stimulation of deep nerves. The stimulation schedules were identical for both groups.

Outcome measurements

All participants were assessed at 4 time-points: 1 week before stimulation, 2, 6 and 12 months after stimulation. The evaluation of outcomes was performed using: (i) videofluoroscopic swallowing study (VFSS); (ii) a swallowing-related quality of life

Table I. Participants' demographics and repetitive transcranial magnetic stimulation (rTMS) intensity

| Group assignment | Participant ID | Sex | Site of lesion | Age (years) | Time post-stroke (months) | Stimulation intensity (% of maximum stimulator output) |
|------------------|----------------|-----|--|-------------|---------------------------|--|
| Active | 1 | M | Left temporal | 66 | 69 | 66 |
| | 2 | M | Right pons | 74 | 75 | 59 |
| | 3 | F | Bilateral periventricular white matter | 66 | 20 | 54 |
| | 4 | F | Left corona radiata | 56 | 56 | 41 |
| | 5 | M | Bilateral periventricular white matter | 65 | 42 | 63 |
| | 6 | F | Right basal ganglia | 75 | 22 | 50 |
| | 7 | M | Left parietal | 53 | 41 | 54 |
| | 8 | F | Right thalamus | 69 | 19 | 57 |
| | 9 | M | Left pons | 51 | 24 | 75 |
| | 10 | M | Bilateral periventricular white matter | 69 | 42 | 45 |
| | 11 | M | Brainstem and left cerebellar | 72 | 56 | 72 |
| Sham | | | Mean (SD) | 65.1 (8.3) | 42.4 (19.9) | |
| | 12 | M | Bilateral basal ganglia | 70 | 77 | 68 |
| | 13 | M | Bilateral subcortical white matter | 65 | 35 | 59 |
| | 14 | M | Right occipital | 66 | 25 | 63 |
| | 15 | M | Right parietal | 52 | 22 | 60 |
| | | | Mean (SD) | 63.3 (7.8) | 39.8 (25.4) | |

SD: standard deviation; M: male; F: female.

questionnaire; and (iii) maximum tongue strength measurement at each assessment time.

Videofluoroscopic swallowing study (VFSS). Participants were asked to swallow 3 trials of 5 ml of each of the following: thin, mildly-thick and extremely-thick fluid. The barium sulphate (8.7% w/v, E-Z-Paque®, E-Z-EM, New York, USA) liquid was first prepared and then thickened to different consistencies using thickener (ThickenUp®, Nestle, Lutry, Switzerland). The swallowing process was recorded and then quantitatively analysed in terms of: (i) oral transit time; (ii) stage transit time; (iii) pharyngeal transit time; (iv) amount of post-swallow residue in valleculae (normalized residue ratio scale; NRRSv); and (v) in piriform sinus (NRRSp); and (vi) pharyngeal constriction ratio. The VFSS was digitally recorded at a frame-rate of 30 frames/s.

The software program ImageJ (National Institute of Health) was used to perform frame-by-frame analysis and spatial measurements on VFSS videos. All measures were obtained from lateral views. Appendix I shows the definitions of the VFSS measures.

Swallowing-related quality of life questionnaire. The Swallowing Activity and Participation Profile (SAPP) was used to evaluate the swallowing-related quality of life of the participants. The SAPP was developed under the International Classification of Functioning, Disability and Health (ICF; World Health Organization) framework (25), consisting of 38 items grouped into swallowing impairment, personal, social and emotional subscales. The higher the SAPP total scores, the poorer the swallowing-related quality of life.

Maximum tongue strength. The maximum tongue strength of each participant was measured using the Iowa Oral Performance Instrument (IOPI; IOPI Medical LLC, Washington, USA). Participants were asked to use the tongue to press the air-filled IOPI tongue bulb against the hard palate 3 times using maximal strength. The maximum tongue pressure among the 3 trials was recorded as the maximum tongue strength.

Statistical analysis

The oral transit time, stage transit time, pharyngeal transit time and pharyngeal constriction ratio for all consistencies, and NRRSv and NRRSp for extremely-thick fluid, the total SAPP scores and maximum tongue strength were analysed using general linear mixed model (GLMM) to compare the between-group and across-time differences. The GLMM was used because it was designed to account for a wide variability of data, which is common in experimental, longitudinal studies and can accommodate for missing and unbalanced data (26).

RESULTS

Fig. 1 shows the flow diagram for participant recruitment. Participants were openly recruited from the public and through a rehabilitation hospital. Fifty-eight individuals were screened face-to-face and/or via phone interview for eligibility for the study. Twenty-two subjects met the inclusion and exclusion criteria and joined the study. They were randomly allocated into active or sham groups. Five of participants in the

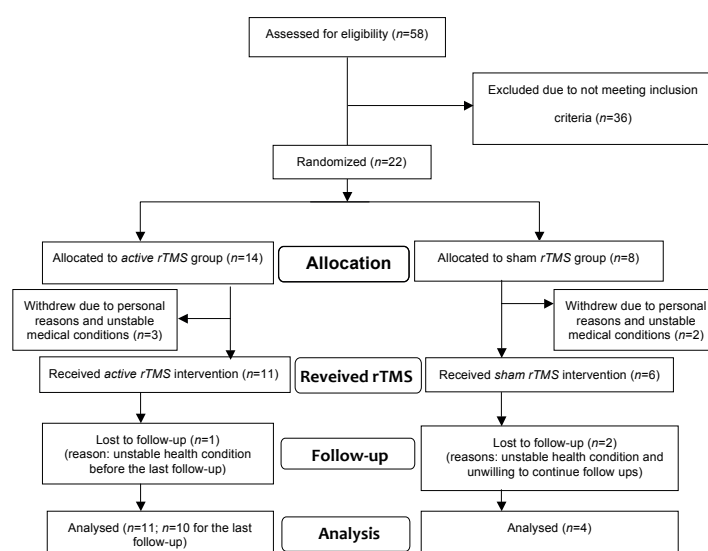


Fig. 1. Flow of participants in the study according to the Consolidated Standards of Reporting Trials (CONSORT) statement. rTMS; repetitive transcranial magnetic stimulation.

sham group withdrew before rTMS sessions for personal reasons and unstable medical conditions. Upon completion of the 10 rTMS sessions, 2 participants in the sham group did not return after the first follow-up. One participant in the active group did not return for the 12 months post-rTMS follow-up assessment. A final total of 14 participants completed all follow-ups. In the current study, the data from 15 participants (11 males, 4 females; mean age 64.5 years), including the one who did not return for the final follow-up, were analysed. Table I shows the demographic information for the participants.

All participants received 10 sessions of rTMS (active or sham) with no reports of discomfort. There was no significant difference between the groups in age ($p=0.706$) or post-stroke duration ($p=0.861$).

Videofluoroscopic swallowing study (VFSS)

The VFSS videos were analysed by a speech therapist (author CKYI) blinded to the group assignment. Since 53% of the participants in the current study showed pharyngeal residue after swallowing extremely-thick fluid, but not the other consistencies, the analysis of such residue focused only on extremely-thick fluid consistency. Lower NRRSv and NRRSp values indicated less pharyngeal residue. A lower pharyngeal constriction ratio indicated a larger maximum pharyngeal constriction.

Tables II–VII present the results of VFSS measures. Significant main effects for time were found in: (i) oral transit time for mildly-thick and extremely-thick fluid; (ii) stage transit time; (iii) pharyngeal transit time for all consistencies; and (iv) NRRSp for extremely-thick

Table II. Descriptive statistics for videofluoroscopic swallowing study (VFSS) measures for thin liquid

| VFSS measures | Group | Baseline | 2 months follow-up | 6 months follow-up | 12 months follow-up |
|-------------------------------|--------|-------------|--------------------|--------------------|---------------------|
| | | Mean (SD) | Mean (SD) | Mean (SD) | Mean (SD) |
| Oral transit time, s | Active | 0.97 (0.33) | 0.98 (0.16) | 1.37 (0.26) | 1.37 (0.34) |
| | Sham | 0.54 (0.29) | 1.46 (0.68) | 0.59 (0.17) | 0.90 (0.19) |
| Stage transit time, s | Active | 0.65 (0.12) | 0.99 (0.16) | 1.05 (0.27) | 1.48 (0.32) |
| | Sham | 0.43 (0.11) | 1.09 (0.38) | 1.18 (0.45) | 1.02 (0.17) |
| Pharyngeal transit time, s | Active | 1.04 (0.19) | 1.32 (0.18) | 1.39 (0.32) | 1.90 (0.36) |
| | Sham | 0.63 (0.14) | 1.38 (0.38) | 1.57 (0.51) | 1.35 (0.23) |
| Pharyngeal constriction ratio | Active | 0.04 (0.01) | 0.03 (0.01) | 0.02 (0.00) | 0.03 (0.01) |
| | Sham | 0.02 (0.01) | 0.02 (0.00) | 0.01 (0.00) | 0.01 (0.00) |

SD: standard deviation.

fluid. All of the above-mentioned timing measures increased after rTMS, whereas NRRSps decreased after rTMS. However, no significant main effects for group or interaction effects between group and time were found for all measures.

Swallowing Activity and Participation Profile (SAPP)

The total SAPP scores of both groups were calculated (Table VIII). No significant main effects or interaction effects for groups and time were found for the total SAPP scores.

Maximum tongue strength

No significant main effects or interaction effects for groups and time were found for the maximum tongue pressure (Table IX).

Table III. General Linear Mixed Model results of the videofluoroscopic swallowing study (VFSS) measures for thin liquid

| VFSS measures | Significance level for time | | Significance level for groups | |
|-------------------------------|-----------------------------|--|-------------------------------|--|
| | F(3, 11.7)=1.610 | | F(1, 13.0)=0.253 | |
| Oral transit time, s | $p=0.240$ | | $p=0.532$ | |
| | $*p=0.007$ | | $p=0.477$ | |
| Stage transit time, s | F(3, 8.8)=8.134 | | F(1, 12.0)=0.539 | |
| | $*p=0.007$ | | $p=0.477$ | |
| Pharyngeal transit time, s | F(3, 8.4)=6.425 | | F(1, 12.2)=0.756 | |
| | $*p=0.014$ | | $p=0.401$ | |
| Pharyngeal constriction ratio | F(3, 12.7)=0.666 | | F(1, 12.5)=3.813 | |
| | $p=0.588$ | | $p=0.074$ | |

* $p<0.05$.**Table IV.** Descriptive statistics for videofluoroscopic swallowing study (VFSS) measures for mildly-thick fluid

| VFSS measures | Group | Baseline | 2 months follow-up | 6 months follow-up | 12 months follow-up |
|-------------------------------|--------|-------------|--------------------|--------------------|---------------------|
| | | Mean (SD) | Mean (SD) | Mean (SD) | Mean (SD) |
| Oral transit time, s | Active | 0.77 (0.17) | 1.14 (0.13) | 1.82 (0.40) | 1.89 (0.41) |
| | Sham | 0.69 (0.19) | 1.64 (0.57) | 1.20 (0.42) | 0.99 (0.30) |
| Stage transit time, s | Active | 1.07 (0.29) | 1.28 (0.24) | 1.78 (0.55) | 1.84 (0.58) |
| | Sham | 1.07 (0.50) | 2.09 (0.83) | 1.81 (0.80) | 1.51 (0.71) |
| Pharyngeal transit time, s | Active | 1.38 (0.30) | 1.65 (0.27) | 2.15 (0.57) | 2.31 (0.60) |
| | Sham | 1.33 (0.52) | 2.59 (0.90) | 2.14 (0.89) | 1.90 (0.72) |
| Pharyngeal constriction ratio | Active | 0.05 (0.01) | 0.08 (0.03) | 0.04 (0.00) | 0.04 (0.01) |
| | Sham | 0.04 (0.02) | 0.05 (0.01) | 0.02 (0.00) | 0.02 (0.00) |

SD: standard deviation.

Table V. Generalized Linear Mixed Model results of the videofluoroscopic swallowing study (VFSS) measures for mildly-thick fluid

| VFSS measures | Significance level for time | | Significance level for groups | |
|-------------------------------|-----------------------------|--|-------------------------------|--|
| | F(3, 12.7)=7.710 | | F(1, 13.0)=0.720 | |
| Oral transit time, s | $*p=0.003$ | | $p=0.411$ | |
| | F(3, 12.8)=3.720 | | F(1, 13.0)=0.007 | |
| Stage transit time, s | $*p=0.040$ | | $p=0.933$ | |
| | F(3, 12.8)=5.009 | | F(1, 13.0)=0.002 | |
| Pharyngeal transit time, s | $*p=0.016$ | | $p=0.890$ | |
| | F(3, 12.4)=1.562 | | F(1, 12.9)=1.279 | |
| Pharyngeal constriction ratio | $p=0.248$ | | $p=0.279$ | |

* $p<0.05$.

DISCUSSION

This study found no significant treatment effects of 5 Hz rTMS on swallowing function, tongue strength or swallowing-related quality of life in patients with chronic post-stroke dysphagia. All participants completed the entire rTMS protocol with no reports of discomfort or adverse reactions, suggesting that 10 days of 5 Hz rTMS applied over 2 weeks is safe and tolerable.

Table VI. Descriptive statistics for videofluoroscopic swallowing study (VFSS) measures for extremely-thick fluid

| VFSS measures | Group | Baseline | 2 months follow-up | 6 months follow-up | 12 months follow-up |
|-------------------------------|--------|-------------|--------------------|--------------------|---------------------|
| | | Mean (SD) | Mean (SD) | Mean (SD) | Mean (SD) |
| Oral transit time, s | Active | 1.01 (0.45) | 1.49 (0.79) | 1.95 (1.37) | 2.18 (1.49) |
| | Sham | 0.85 (0.29) | 2.08 (1.02) | 2.77 (2.29) | 1.64 (0.83) |
| Stage transit time, s | Active | 1.21 (1.29) | 3.11 (2.99) | 2.71 (3.61) | 2.35 (1.39) |
| | Sham | 1.27 (1.13) | 3.12 (2.97) | 1.92 (1.59) | 2.34 (1.28) |
| Pharyngeal transit time, s | Active | 1.58 (1.38) | 2.88 (2.54) | 3.13 (3.73) | 2.84 (1.52) |
| | Sham | 1.64 (1.14) | 3.62 (3.16) | 2.31 (1.70) | 2.71 (1.29) |
| +NRRSv | Active | 0.19 (0.42) | 0.15 (0.27) | 0.03 (0.09) | 0.13 (0.27) |
| | Sham | 0.08 (0.11) | 0.16 (0.17) | 0.08 (0.12) | 0.08 (0.14) |
| +NRRSps | Active | 0.21 (0.46) | 0.07 (0.12) | 0.04 (0.09) | 0.11 (0.25) |
| | Sham | 0.01 (0.01) | 0.03 (0.02) | 0.01 (0.01) | 0.01 (0.02) |
| Pharyngeal constriction ratio | Active | 0.06 (0.05) | 0.05 (0.04) | 0.04 (0.02) | 0.05 (0.04) |
| | Sham | 0.03 (0.02) | 0.04 (0.01) | 0.02 (0.01) | 0.03 (0.01) |

+NRRSv: normalized residue ratio scale for valleculae residue; NRRSps: normalized residue ratio scale for piriform sinus residue; SD: standard deviation.

Table VII. Generalized Linear Mixed Model results of the videofluoroscopic swallowing study (VFSS) measures for extremely-thick fluid

| VFSS measures | Significance level for time | | Significance level for groups | |
|-------------------------------|-----------------------------|--|-------------------------------|--|
| | F(3, 12.6)=6.01 | | F(1, 13.1)=1.149 | |
| Oral transit time, s | $*p=0.009$ | | $p=0.706$ | |
| | F(3, 12.9)=7.261 | | F(1, 13.0)=0.025 | |
| Stage transit time, s | $*p=0.004$ | | $p=0.877$ | |
| | F(3, 12.8)=8.758 | | F(1, 13.0)=0.000 | |
| Pharyngeal transit time, s | $*p=0.002$ | | $p=0.984$ | |
| | F(3, 13.0)=1.226 | | F(1, 13.0)=0.034 | |
| +NRRSv | $p=0.340$ | | $p=0.856$ | |
| | F(3, 13.0)=3.427 | | F(1, 13.0)=0.900 | |
| +NRRSps | $p=0.049$ | | $p=0.360$ | |
| | F(3, 12.9)=1.333 | | F(1, 13.0)=0.804 | |
| Pharyngeal constriction ratio | $p=0.307$ | | $p=0.386$ | |

* $p<0.05$.

+NRRSv: normalized residue ratio scale for valleculae residue; NRRSps: normalized residue ratio scale for piriform sinus residue; SD: standard deviation.

Table VIII. Descriptive statistics and Generalized Linear Mixed Model results in total Swallowing Activity and Participation Profile scores

| | Baseline Mean (SD) | 2 months follow-up Mean (SD) | 6 months follow-up Mean (SD) | 12 months follow-up Mean (SD) | Significance level for time | Significance level for groups |
|--------|-----------------------|---------------------------------|---------------------------------|----------------------------------|--------------------------------|----------------------------------|
| Active | 111.1 (61.9) | 83.1 (52.4) | 80.2 (51.1) | 74.1 (47.3) | F(3, 13) = 0.813 | F(1, 13) = 1.397 |
| Sham | 57.8 (24.2) | 51.0 (32.6) | 56.3 (44.2) | 65.3 (39.6) | * $p = 0.509$ | $p = 0.258$ |

SD: standard deviation.

Table IX. Descriptive statistics and Generalized Linear Mixed Model results of maximum tongue pressure (kPa)

| | Baseline Mean (SD) | 2 months follow-up Mean (SD) | 6 months follow-up Mean (SD) | 12 months follow-up Mean (SD) | Significance level for time | Significance level for groups |
|--------|-----------------------|---------------------------------|---------------------------------|----------------------------------|--------------------------------|----------------------------------|
| Active | 32.0 (17.4) | 32.1 (14.9) | 28.9 (15.2) | 34.1 (14.6) | F(3, 9.3) = 0.419 | F(1, 13) = 0.595 |
| Sham | 34.0 (19.3) | 41.3 (27.3) | 42.0 (24.6) | 37.5 (19.9) | $p = 0.744$ | $p = 0.455$ |

SD: standard deviation.

There are several possible explanations for these negative results. First, the stimulation protocol may not be optimized for increasing cortical excitability and inducing improvement in swallowing function of the participants. A recent systematic review by Pisegna et al. (27) suggested that non-invasive stimulation of the unaffected hemisphere may be more effective in improving swallowing functions after stroke. Further investigations on the changes in cortical excitability of tongue motor cortex after rTMS may provide insights into the optimization of stimulation protocol.

Secondly, the VFSS protocol, which used a bolus volume of 5 ml for all swallowing trials, may not be sensitive enough to detect the breakdown level of the patients. Dantas et al. found that larger bolus volume requires adaptation of swallowing structure movements, which might be more difficult for some patients (28).

The third explanation relates to patient selection. Since most of the participants have mild to moderate dysphagia, the room for extensive improvements may be small. The effects of the current rTMS protocol on individuals with more severe dysphagia are unknown.

Finally, the current protocol failed to increase tongue strength, suggesting that cortical stimulation alone may not be sufficient. Pairing rTMS with tongue or swallowing exercises may bring about more significant improvements. A study by Koganemaru et al. (29) suggested that improvement in grip strength may be best achieved with a combination of rTMS and use-dependent exercises in the chronic stroke population. Moreover, Dejaeger et al. (30) suggested that the degree of tongue-driving force affects clearance of pharyngeal residue. Through improving tongue strength, the clearance of pharyngeal residue after swallow may be more efficient.

The current study is limited by a small sample and unbalanced group sizes. Individual variations in swallowing functions may have masked any changes subsequent to rTMS. Future studies may adopt a block randomization process in order to balance group sizes.

The recruitment of participants was of great challenge because most patients with chronic post-stroke dysphagia have other comorbidities; for example, dementia, history of epilepsy, bed-bound or unstable health condition, which may be counter-indicators for rTMS studies.

In conclusion, the current study indicated that 5 Hz rTMS applied over the tongue area of the motor cortex for 10 days was not effective in improving swallowing function in patients with chronic post-stroke dysphagia. However, given the limitations of the small and unbalanced group sizes in this study, the therapeutic effects of the current protocol remain uncertain. Future studies are needed that include patients with more severe dysphagia and balanced group sizes to study the effects of the current protocol in conjunction with swallowing exercises.

ACKNOWLEDGEMENTS

This project was funded by the Early Career Scheme, University Grants Council, Hong Kong [grant number: HKU785812M].

The authors acknowledge Dr Nancy Solomon and Dr William G. Pearson for the valuable professional advice and Ms Dai Pu, Ms Joyce Luo and Ms Kelly Ho for assistance with data collection.

The authors declare no conflicts of interests.

REFERENCES

1. Chang WH, Kim YH, Bang OY, Kim ST, Park YH, Lee PK. Long-term effects of rTMS on motor recovery in patients after subacute stroke. *J Rehabil Med* 2010; 42: 758–764.
2. Dafotakis M, Grefkes C, Eickhoff SB, Karbe H, Fink GR, Nowak DA. Effects of rTMS on grip force control following subcortical stroke. *Exp Neurol* 2008; 211: 407–412.
3. Mally J, Dinya E. Recovery of motor disability and spasticity in post-stroke after repetitive transcranial magnetic stimulation (rTMS). *Brain Res Bull* 2008; 76: 388–395.
4. Barwood CH, Murdoch BE, Whelan BM, Lloyd D, Riek S, JD OS, et al. Improved language performance subsequent to low-frequency rTMS in patients with chronic non-fluent aphasia post-stroke. *Eur J Neurol* 2011; 18: 935–943.
5. Kakuda W, Abo M, Uruma G, Kaito N, Watanabe M. Low-frequency rTMS with language therapy over a 3-month

- period for sensory-dominant aphasia: case series of two post-stroke Japanese patients. *Brain Inj* 2010; 24: 1113–1117.
6. Cheng IK, Chan KM, Wong CS, Cheung RT. Preliminary evidence of the effects of high-frequency repetitive transcranial magnetic stimulation (rTMS) on swallowing functions in post-stroke individuals with chronic dysphagia. *Int J Lang Commun Disord* 2015; 50: 389–396.
 7. Khedr EM, Abo-Elfetoh N. Therapeutic role of rTMS on recovery of dysphagia in patients with lateral medullary syndrome and brainstem infarction. *J Neurol Neurosurg Psychiatry* 2010; 81: 495–499.
 8. Khedr EM, Abo-Elfetoh N, Rothwell JC. Treatment of post-stroke dysphagia with repetitive transcranial magnetic stimulation. *Acta Neurol Scand* 2009; 119: 155–161.
 9. Lim KB, Lee HJ, Yoo J, Kwon YG. Effect of low-frequency rTMS and NMES on subacute unilateral hemispheric stroke with dysphagia. *Ann Rehabil Med* 2014; 38: 592–602.
 10. Michou E, Mistry S, Jefferson S, Tyrrell P, Hamdy S. Characterizing the mechanisms of central and peripheral forms of neurostimulation in chronic dysphagic stroke patients. *Brain Stimul* 2014; 7: 66–73.
 11. Park JW, Oh JC, Lee JW, Yeo JS, Ryu KH. The effect of 5 Hz high-frequency rTMS over contralesional pharyngeal motor cortex in post-stroke oropharyngeal dysphagia: a randomized controlled study. *Neurogastroenterol Motil* 2013; 25: e324–e250.
 12. Verin E, Leroi AM. Poststroke dysphagia rehabilitation by repetitive transcranial magnetic stimulation: a noncontrolled pilot study. *Dysphagia* 2009; 24: 204–210.
 13. Falsetti P, Acciai C, Palilla R, Bosi M, Carpinteri F, Zingarelli A, et al. Oropharyngeal dysphagia after stroke: incidence, diagnosis, and clinical predictors in patients admitted to a neurorehabilitation unit. *J Stroke Cerebrovasc Dis* 2009; 18: 329–335.
 14. Mann G, Hankey GJ, Cameron D. Swallowing disorders following acute stroke: prevalence and diagnostic accuracy. *Cerebrovasc Dis* 2000; 10: 380–386.
 15. Martino R, Foley N, Bhogal S, Diamant N, Speechley M, Teasell R. Dysphagia after stroke: incidence, diagnosis, and pulmonary complications. *Stroke* 2005; 36: 2756–2763.
 16. Smithard DG, Smeeton NC, Wolfe CDA. Long-term outcome after stroke: does dysphagia matter? *Age Ageing* 2007; 36: 90–94.
 17. Teasell R, Foley N, Fisher J, Finestone H. The incidence, management, and complications of dysphagia in patients with medullary strokes admitted to a rehabilitation unit. *Dysphagia* 2002; 17: 115–120.
 18. Ekberg O, Hamdy S, Woisard V, Wuttge-Hannig A, Ortega P. Social and psychological burden of dysphagia: its impact on diagnosis and treatment. *Dysphagia* 2002; 17: 139–146.
 19. Logemann JA. Evaluation of swallowing disorders. Evaluation and treatment of swallowing disorders 1998; 2.
 20. Steele CM, Bayley MT, Peladeau-Pigeon M, Nagy A, Namavayam AM, Stokely SL, et al. A randomized trial comparing two tongue-pressure resistance training protocols for post-stroke dysphagia. *Dysphagia* 2016; 31: 452–461.
 21. Park J-S, Kim H-J, Oh D-H. Effect of tongue strength training using the Iowa Oral Performance Instrument in stroke patients with dysphagia. *J Phys Ther Sci* 2015; 27: 3631–3634.
 22. Robbins J, Kays SA, Gangnon RE, Hind JA, Hewitt AL, Gentry LR, et al. The effects of lingual exercise in stroke patients with dysphagia. *Arch Phys Med Rehabil* 2007; 88: 150–158.
 23. Rossini PM, Barker AT, Berardelli A, Caramia MD, Caruso G, Cracco RQ, et al. Non-invasive electrical and magnetic stimulation of the brain, spinal cord and roots: basic principles and procedures for routine clinical application. Report of an IFCN committee. *Electroencephalogr Clin Neurophysiol* 1994; 91: 79–92.
 24. Li S, Luo C, Yu B, Yan B, Gong Q, He C, et al. Functional magnetic resonance imaging study on dysphagia after unilateral hemispheric stroke: a preliminary study. *J Neurol Neurosurg Psychiatr* 2009; 80: 1320–1328.
 25. Chan K, Yiu E, Ho E. The impact of swallowing problems on nursing home residents' quality of life. *Int Symposium Healthy Aging*; 2011: Research Centre of Heart, Brain, Hormone & Healthy Aging, Li Ka Shing Faculty of Medicine, The University of Hong Kong; 2011.
 26. Verbeke G, Molenberghs G. Linear mixed models for longitudinal data. New York, USA: Springer Science & Business Media; 2009.
 27. Pisegna JM, Kaneoka A, Pearson WG, Jr, Kumar S, Langmore SE. Effects of non-invasive brain stimulation on post-stroke dysphagia: A systematic review and meta-analysis of randomized controlled trials. *Clin Neurophysiol* 2016; 127: 956–968.
 28. Dantas RO, Kern MK, Massey BT, Dodds WJ, Kahrilas PJ, Brasseur JG, et al. Effect of swallowed bolus variables on oral and pharyngeal phases of swallowing. *Am J Physiol* 1990; 258: 675–681.
 29. Koganemaru S, Mima T, Thabit MN, Ikkaku T, Shimada K, Kanematsu M, et al. Recovery of upper-limb function due to enhanced use-dependent plasticity in chronic stroke patients. *Brain* 2010; 133: 3373–3384.
 30. Dejaeger E, Pelemans W, Ponette E, Joosten E. Mechanisms involved in postdeglutition retention in the elderly. *Dysphagia* 1997; 12: 63–67.
 31. Rademaker AW, Pauloski BR, Logemann JA, Shanahan TK. Oropharyngeal swallow efficiency as a representative measure of swallowing function. *J Speech, Lang Hearing Res* 1994; 37: 314–325.
 32. Logemann JA, Kahrilas PJ, Kobara M, Vakil NB. The benefit of head rotation on pharyngoesophageal dysphagia. *Arch Phys Med Rehabil* 1989; 70: 767–771.
 33. Leonard R, Belafsky PC, Rees CJ. Relationship between fluoroscopic and manometric measures of pharyngeal constriction: the pharyngeal constriction ratio. *Ann Oto Rhinol Laryn* 2006; 115: 897–901.
 34. Leonard RJ, Kendall KA, McKenzie S, Goncalves MI, Walker A. Structural displacements in normal swallowing: a videofluoroscopic study. *Dysphagia* 2000; 15: 146–152.
 35. Pearson WG, Jr., Molfenter SM, Smith ZM, Steele CM. Image-based measurement of post-swallow residue: the normalized residue ratio scale. *Dysphagia* 2013; 28: 167–177.
 36. Molfenter SM, Steele CM. The relationship between residue and aspiration on the subsequent swallow: an application of the normalized residue ratio scale. *Dysphagia* 2013; 28: 494–500.

Appendix I. Definitions of videofluoroscopic swallowing study measures

Duration measures

The definitions of the duration measures used in the current study were adapted from the Oropharyngeal Swallow Efficiency (31, 32):

1. Oral transit time is defined as the duration (in s) between the onset of bolus movement in the oral cavity and the arrival of the bolus head at the junction of the lower rim of the mandible and the tongue base.
2. Stage transit time is defined as the duration (in s) between the arrival of the bolus head at the junction of the lower rim of the mandible and the tongue base and the first laryngeal elevation.
3. Pharyngeal transit time is defined as the duration (in s) between the first laryngeal elevation and the restoration of upper oesophageal sphincter constriction after passage of bolus through the cricopharyngeal area.

Pharyngeal constriction ratio

The pharyngeal area at the hold position (PA_{hold}), where the bolus was held in the oral cavity before backward propulsion, and the pharyngeal area at maximum constriction position (PA_{max}) were measured as described in the study by Leonard and colleagues (33, 34) and recorded in cm^2 . The pharyngeal constriction ratio is defined as PA_{max}/PA_{hold} .

Amount of post-swallow residue in valleculae and piriform sinus

The areas of the valleculae and piriform sinus and the residue in these 2 areas after the first swallow were measured. The normalized residue ratio scale (NRRS) (35) was calculated for vallecular (NRRSv) and piriform sinus (NRRSps) residue to estimate the amount of post-swallow residue. The NRRS is a recently developed pixel-based measurement that aims to provide a more objective and quantifiable judgment on the amount of pharyngeal residue (35, 36).
